

**Annex 1: Logframe Matrix for: Part 3 – Preparing for Structural Funds**  
**Implementing Agency: Central Financing and Contracting Unit**

<b>LOGFRAME PLANNING MATRIX FOR PRIORITY E - 2006</b>	Programme name and number	<b>Economic and Social Cohesion 2006</b>
<b>Measure A: Development of administrative capacities for Structural Funds management</b>	Contracting period expires: 30.11.2008	Disbursement period expires: 30.11.2009
	Total budget (Meuro): <b>Part 3: 23.21</b>	Phare budget (Meuro): <b>Part 3: 18.39</b>

Overall objective 2004 - 2006	Related Copenhagen criteria and acquis chapter	List of other projects with the same objective	
To build the institutional, administrative, programming and implementation structures necessary to effectively manage EU Structural instruments after accession.	<p><b>Chapter 21 “Regional policy and coordination of structural instruments”</b></p> <ul style="list-style-type: none"> <li>To strengthen the institutional capacity of the managing authorities and of the intermediate bodies.</li> <li>To set up a partnership at the regional and national level, which has to include the local authorities, economic and social partners and relevant agencies.</li> <li>To design an evaluation and monitoring system of the structural funds.</li> </ul> <p><b>2005 Romania - Comprehensive Monitoring Report</b></p> <ul style="list-style-type: none"> <li>Increased efforts are required (...) to prepare for the implementation of the <i>Structural and Cohesion Funds</i>, including timely accreditation for Extended Decentralisation (EDIS) in the context of the pre-accession funds.</li> <li>Work on preparing the pipeline, with adequate co-financing mechanisms, needs to continue.</li> </ul>	<p>RO02/IB/FI-12 – “Set up of the Paying Agency within the MoPF to ensure efficient management and control of EU and public funds in compliance with EU Acquis”</p> <p>RO02/IB/SPP/01 – “Institution building to support regional development policy implementation”</p> <p>RO 03/IB/OT 08 - Strengthening the Administrative Capacity of the MA for the CSF within the MoPF</p> <p>RO 03/IB/OT 07 - “Support to the MoET to increase its capacity related to industrial policy co-ordination and management of EU Structural Funds for economic competitiveness improvement”</p> <p>PHARE ESC 2003: RO03/IB/EN/01 “Support to the MEWM in Preparation of the Multi-Annual Programming Scheme under the Economic and Social Cohesion”</p> <p><u>PHARE ESC 2003: “Technical Assistance to Support the Implementation of the Waste Management Investment/Infrastructure Projects under Phare ESC”</u></p> <p>PHARE ESC 2004 – “Technical Assistance</p>	

		<p>for training for Structural/Cohesion Fund management”</p> <p>RO04/IB/OT/05 – “Support for the MEWM to prepare as MA for SOP Environment”</p> <p>PHARE ESC 2005 –TA for project pipeline preparation</p> <p>PHARE ESC 2005 – Investment for SMIS</p> <p><u>ISPA Measure No.: 2003 RO 16 P PA 013</u></p> <p>TA for Project Preparation in the Environment Sector located in Romania</p> <p><u>ISPA Measure No.: 2003 RO 16 P PA 012</u></p> <p>TA for Institutional Capacity Strengthening of ISPA Final Beneficiaries in the Water and Wastewater Sector in Romania</p> <p>- Phare 2003, 2004, 2005 Institution building for ESC and Structural funds</p>	
<b>Project purpose</b>	<b>Objectively verifiable indicators</b>	<b>Sources of Verification</b>	<b>Assumptions</b>
<p><u>Sub-Project 1:</u></p> <p>To assist the MACSF with the last preparations for the structural funds start-up and with the co-ordination activities in the first year of structural funds implementation.</p> <p><u>Sub-Project 2:</u></p> <p>To provide key players within Romanian institutions involved in managing EU Structural Funds with basic skills and knowledge to programme, implement and monitor the programmes and projects.</p> <p><u>Sub-Project 3:</u></p> <p>To expand the SMIS with a new component which will include the totality of information on budgetary expenses at central, Regional and local level, as well as the whole expenditures related to measures and priorities of the National Development Plan which are not eligible under Structural Instruments and improve the existing</p>	<p>All necessary structures in place, with staff trained and retained, and able to properly manage the programmes under the Funds</p>	<p>Project reports</p> <p>CSF and OPs approved</p> <p>Management and Control Procedures notified</p> <p>Annual implementation reports of the Funds</p>	<p>Good co-operation between MACSF, future MAs and other institutions involved in implementation</p> <p>Good programming documents</p>

<p>software, IT infrastructure and written procedures manuals for dealing with SMIS according to the progress in development of the implementation system of the Structural Funds.</p> <p><u>Sub-Project 4:</u></p> <ul style="list-style-type: none"> <li>• To strengthen MEI's role in the overall co-ordination of regional policy formulation and implementation.</li> <li>• To support the general Directorate for Regional Development to prepare to take over its role as ROP Managing Authority under Structural Funds, as well as of the IBs for these programmes</li> </ul> <p><u>Sub-Project 6:</u></p> <p>To prepare the Ministry of Transport, Constructions and Tourism to function as a Managing Authority for the Sectoral Operational Programme for transport and as an Intermediate Body for the Cohesion Fund in the transport sector, in order to increase its capacity for programming, management, evaluation and monitoring of projects and programmes under EU Structural and Cohesion Funds and structural funds type programmes.</p> <p><u>Sub-Project 7</u></p> <p>See Annex 1 for Part 2</p> <p><u>Sub-Project 8:</u></p> <p>To support the preparation of the MEWM to function as Managing Authority for the SOP Environment increasing its capacity for programming, management, evaluation and monitoring of projects financed by Structural Instruments, including the preparation of</p>			
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eligible projects.			
Results	Objectively verifiable indicators	Sources of Verification	Assumptions
<u>Sub-project 1.</u> - Enhanced abilities within MACSF for ensuring coordination of structural funds implementation; - Fully developed reporting mechanisms - using actual data - to the requirements of the various operating structures; - Quick start of the OPTA implementation; - Updated manuals of procedures; - Staff of the MACSF trained in issues connected to the coordination, monitoring and evaluation of structural instruments; - Staff of the MA for OPTA trained in issues connected to the implementation of the programme.	<u>Sub-project 1:</u> Additional guidelines distributed to users and in use Monitoring mechanism Procedures adjusted according to implementation. Approx. 300 persons trained in various issues connected to structural instruments management and implementation.	<u>Sub-project 1:</u> Project reports / evaluation  EC approval letters  Notification letter(s)	Staff turnover rates not more than 20% per year
<u>Sub-project 2.</u> - No. of staff from management and implementation structures trained in management, monitoring, evaluation and control, under structural funds on a yearly basis - Training curricula and materials produced and distributed to participants - No. of local trainers trained in managing EU funds	<u>Sub-project 2:</u> 1000 trainees from management and implementation structures trained in management, monitoring, evaluation and control, under structural funds on a yearly basis Training curricula and materials A team of local trainers trained in managing EU funds by year 2006 Quality of project proposals (number of proposals / project size compared to available funds, number of rejections compared to early phases of other Member States' programmes) Quality of project implementation (i.e. ability to disburse within the "n+2 rule")	<u>Sub-project 2:</u> Training evaluations  Project reports  Project evaluation(s)  Annual SF implementation reports (as of 2008)	
<u>Sub-project 3.</u> - Expansion of the current business	<u>Sub-project 3:</u> SMIS disaster recovery site operational	<u>Sub-project 3:</u>	

<p>continuity plan for SMIS by creating an entire disaster recovery site, site that mirrors all the data from the production site in real time, in order to enable SMIS Central Unit in MACSF to maintain mission critical functions of SMIS without any interruption. The equipments hosted in disaster recovery site will replicate the most important function from production site, computing power will be over 50% compared with computing power from production site. All the security and availability aspects will be treated as same as in production site.</p> <ul style="list-style-type: none"> <li>- the administrator and maintenance manuals and procedures adjusted according to the testing of the functionality of new SMIS disaster recovery site;</li> <li>- equipments and connection to production provided for all institution involved, development of the new disaster recovery site and new communication bandwidth requirements for entire SMIS.</li> </ul> <p><u>Sub-project 4</u></p> <ul style="list-style-type: none"> <li>• Implementation of the ROP programme carried out without any major problems occurring</li> <li>• Programme management capacities developed and enhanced in accordance with Structural Funds requirements</li> <li>• Staff assisted in managing and coordinating the implementation of ROP priorities</li> <li>• Managing and monitoring the contracts of ROP MA delegated attributions,</li> </ul>	<p>Revised administrator and maintenance manuals and procedures Communications ensured between user institutions and SMIS central servers</p> <p><u>Sub-project 4:</u></p> <p>Findings of the Programme Evaluation Reports Manuals, guidelines approved and in use</p> <p>Ability to draw down the allocated SF allocations within the required deadlines (measurable 2 years after SF programme start – “n+2 rule”)</p> <p>Number of irregularities (measured one and</p>	<p>Project report(s) / evaluation</p> <p>Annual SF implementation reports (as of 2008)</p> <p><u>Sub-project 4:</u></p> <p>Project reports / evaluation</p> <p>Programme approval letters from EC</p> <p>Notification of complements</p> <p>Notification of procedures</p> <p>Annual SF implementation reports</p>	
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<p>including of the implementation of the quality assurance system</p> <ul style="list-style-type: none"> <li>• Projects being presented to the IB's are well prepared and comply to eligibility criteria</li> <li>• Financial management and control, payment unit and ROP internal audit unit staff assisted in carrying out their attributions and on-going training of the staff</li> <li>• ROP Evaluation and Monitoring Units/personnel guided and trained to fulfill their tasks</li> <li>• Inter-institutional coordination and partnerships.</li> </ul> <p><u>Sub-project 6.</u></p> <ul style="list-style-type: none"> <li>• Professional and highly trained personnel within the MTCT – Cohesion Fund Intermediate Body &amp; SOP Managing Authority, as well as in the CF designated Implementing Agencies, SOP Intermediate Body and SOP main Final Beneficiaries; accounting personnel adequately trained.</li> <li>• Training curricula and materials produced and distributed to participants;</li> <li>• Pipeline of programming documents and projects prepared, with a view to absorb the first wave of Structural and Cohesion Funds assistance after accession.</li> </ul> <p><u>Sub-project 7</u> - See Annex for Part 2</p> <p><u>Sub-project 8.</u></p> <ul style="list-style-type: none"> <li>• A sufficient number of projects under SOP Environment fully prepared to be</li> </ul>	<p>two years following programme start, and compared to other SF programme starts, and also possibly compared to the SF average / benchmark)</p> <p><u>Sub-project 6:</u></p> <ul style="list-style-type: none"> <li>• Professional and highly trained personnel;</li> <li>• Number of eligible projects prepared for funding under Transport OP;</li> <li>• Training curricula and materials distributed to participants.</li> </ul> <p><u>Sub-project 7</u></p> <p><u>Sub-project 8:</u></p> <ul style="list-style-type: none"> <li>• Number of eligible projects prepared for</li> </ul>	<p><u>Sub-project 6:</u></p> <p>Project reports / evaluation Annual SF implementation reports</p> <p><u>Sub-project 7</u></p> <p><u>Sub-project 8:</u></p> <p>Annual SOP Environment implementation report</p>	
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financing under Structural Instruments; • Projects pipeline ready for contracting under SOP Environment; • Trained staff of the institutions responsible for the project preparation/implementation	funding under SOP Environment;		
<b>Activities</b>	<b>Means</b>		<b>Assumptions</b>
<ul style="list-style-type: none"> <li>- Prepare Terms of Reference for the technical assistance, launching the tender for service contract, evaluation and Contract's signature for projects 2, 5, 6, 8</li> <li>- Selection and contracting twinning partners for projects 1, 4</li> <li>- Prepare Technical Specifications for equipment, launching the tender for supply contract, evaluation and Contract's signature for project 3</li> <li>- Implementation and monitoring activities under service/supply/twinning contracts:</li> </ul> <p><u>Sub-project 1</u>          The twinning to be financed out of Phare 2005, will support MACSF in the last preparations for structural funds and will provide on-the job assistance for the first year of structural funds implementation.</p> <p><u>Sub-project 2</u>          The Consultants will provide training aimed at enhancing the knowledge and abilities to manage the EU structural instruments of the personnel across MAs, IBs, Implementing Agencies and Paying Authorities</p> <p><u>Sub-project 3</u>          The activities will build upon the Phare 2002 project achievement and will expand, improve and maintain the system at the moment of its actual use and will supplement</p>	<p><u>Sub-project 1</u>          Phare 2006 Twinning          1 MEUR</p> <p><u>Sub-project 2</u>          Phare 2006 TA – 1.5 MEUR</p> <p><u>Sub-project 3</u>          Phare 2006 INV – 0.44 MEUR</p>		

<p>the investments based on the needs assessed under the Phare 2002 project and taking into consideration the needs to improve the communication infrastructure for the system.</p> <p><u>Sub-project 4</u> The activities will focus on providing support for correct programme management and implementation according to Structural Fund Regulations; providing support to attain a smooth bridging between management of Phare funded projects and SF interventions; Updating and identification of new training needs and provision of training within the MA (and IB's when appropriate)</p> <p><u>Sub-project 6</u> The MTCT will be assisted in:</p> <ul style="list-style-type: none"> <li>- Training the staff (training on the job, tailor-made training, workshops, seminars)</li> <li>- Preparing a pipeline of projects.</li> </ul> <p><u>Sub-project 7</u> - See Annex 1 for Part 2</p> <p><u>Sub-project 8</u> The activities will aim at building the capacity of MoEWM to prepare as Managing Authority for SOP Environment. The TA will assist in preparing eligible projects for financing under SOP Environment.</p>	<p><u>Sub-project 4</u> TW of 1.3 Meuro</p> <p><u>Sub-project 6</u> TA – 0.15 MEUR TA – 4.50 MEUR (if supplementary funds will be made available for ESC programme, 8 Meuro for project pipeline development is needed)</p> <p><u>Sub-project 7</u></p> <p><u>Sub-project 8</u> Phare 2006, TA – 9.5 MEUR</p>		
			<b>Preconditions</b>