#### SECTOR PROGRAMME FICHE

#### **PHARE 2006**

for

# PUBLIC ADMINISTRATION REFORM

PHARE 2006/018-147.01.03

# INDEX OF CONTENTS

1.	BASIC INFORMATION	2
1.1.	CRIS NUMBER:	2
1.2.	TITLE:	2
1.3.	Sector:	2
1.4.	LOCATION:	2
1.5.	DURATION:	2
2.	OBJECTIVES	
2.1.	OVERALL OBJECTIVE OF THE SECTOR PROGRAMME	2
2.2.	PROGRAMME PURPOSE	2
2.3.	THE PRIORITIES ADDRESSED BY THE ACCESSION PARTNERSHIP (AP) AND THE NATIONAL PLAN FOR	
ADO	PTION OF THE ACQUIS (NPAA)	4
2.4.	CONTRIBUTION TO NATIONAL DEVELOPMENT PLAN/ STRUCTURAL FUNDS PLANS	5
2.5.	CROSS BORDER IMPACT	5
3.	DESCRIPTION	5
3.1.	BACKGROUND AND JUSTIFICATION:	5
3.2.	SECTORAL RATIONALE	6
3.3.	Results	15
3.4.	ACTIVITIES	16
3.5.	Linked Activities:	20
3.6.	Lessons learned:	23
4.	INSTITUTIONAL FRAMEWORK	23
5.	DETAILED BUDGET	
6.	IMPLEMENTATION ARRANGEMENTS	25
6.1.	IMPLEMENTING AUTHORITY	25
6.2.	TWINNING	25
6.3.	NON-STANDARD ASPECTS	25
6.4.	CONTRACTS	26
7.	IMPLEMENTATION SCHEDULE	26
8.	EQUAL OPPORTUNITY	27
9.	ENVIRONMENT	27
10.	RATES OF RETURN	27
11.	INVESTMENT CRITERIA	27
11.1.	CATALYTIC EFFECT	27
11.2.	CO-FINANCING	27
11.3.		
11.4.		
11.5.	SUSTAINABILITY	28
11.6.	COMPLIANCE WITH STATE AIDS PROVISIONS	28
12.	CONDITIONALITY AND SEQUENCING	28
ANN	EXES TO PROJECT FICHE	28

#### - Basic Information

## **CRIS Number:**

PHARE 2006/018-147.01.03

# Title:

Support to Public Administration Reform in Romania

# Sector:

Sector Public Administration

# Location:

Romania

# Duration:

24 months

2004-2006 Multi annual programming exercise/ year 2006/phase 3

### - Objectives

The overall objectives are set out below. These have not changed since the 2004 Sector Fiche.

### The general goal of public administration reform in Romania

The goal is to achieve European standards and values of transparency, predictability, accountability, adaptability and efficiency in the Romanian public administration.

### Overall objective of the Sector programme

The overall sector objective is to contribute to the general PAR goal by implementing public administrative reform in the areas of civil service reform, decentralization and deconcentration of public services, and the policy formulation process.

# Programme Purpose

The sector programme has three **priorities**:

- civil service reform by developing a professional body of civil servants;
- local public administration reform through the continuation of the decentralization/ deconcentration process;

• central government reform through improvement of the policy formulation process.

The three priorities combine a significant investment in a sustainable public administration training and development initiative with the creation of new structures for decentralisation and de-concentration and the development of a new policy making process.

Regarding the third priority, significant progress was made through the adoption of Government Decision on standard procedures for policy-making, alongside with the set up of Public Policy Units in each line ministry starting with 1<sup>st</sup> of January 2006. This process was sustained by World Bank's PAL 2 Programme PPIBL financed technical assistance.

The PHARE RO 2003/005-551.03.03, RO 2003 PHARE OT 10 "Strengthening the Romanian Government capacity for policy management and coordination and for decision making" will contribute to the development of decision-making and policy management by the Government of Romania, leading to more predictable and better-coordinated policies, stronger links between policy priorities and the budget, stronger regulation and the rule of law and reinforced policy development, coordination, implementation and monitoring.

#### **Project Purpose for Priority 1**

To make significant progress in the area of Civil Service Reform through:

Project 1.4. Developing a corps of professional civil servants and the competences necessary for supporting Romania's public administration as a Member State of the EU
Project 1.5 Adapting The Young Professionals Scheme to civil service reform and implemented accordingly

- **Project 1.6** Further INA and RTCs supporting in addressing the challenges raised by the accession process

#### **Project Purpose for Priority 2**

Strengthening local public administration capacity to manage the decentralized services

### **Project Purpose for Priority 3**

Improving the coordination, policy formulation and strategic planning system at central level.

Priority	Short title	Summary of expected impact, when achieving them
1.	Support Civil service reform	Updated system of selection, assessment and promotion by merit of civil servants starting in 2007.
		Implementation of a unitary pay system for the civil servants in order to ensure the decrease of the staff turnover, as well a better motivation and an improved capacity for retaining and promoting the staff.
		Enhanced institutional capacity of main stakeholders involved in the implementation of PAR.
		Improved informational systems for a more accurate management of the public positions and civil servants.
		Increased HR management capacities.
		Civil service transparency and accountability enhanced.

The anticipated impact of the priorities is summarised in the table below.

2.	Support for the decentralisation/ de-concentration process in the Romanian public administration	<ul><li>Improved public services delivery having in view better access of the citizens, promptly and quality services and a better adequacy between the services and the local needs by 2008.</li><li>Coherent and effective assignment of responsibilities, financial resources and rights to all levels of local government by 2008.</li></ul>		
		Local public administration able to efficiently manage the decentralized competences		
		Production of first strategic plans in the period 2006 to 2008.		
3.	Improvement of the central government reform policy formulation process	Coordinated systems for policy formulation process Strengthened capacity for the management of governmental structures concerning the policy formulation process		

# The priorities addressed by the Accession Partnership (AP) and The National Plan for Adoption of the Acquis (NPAA)

i) Develop the policy framework set by the 1999 law on Civil servants by introducing and implementing necessary secondary legislation.

ii) Devise mechanisms to ensure the accountability of civil servants and their independence from undue influences.

iii) Improve provisions for both initial and in service training.

iv) Develop a career structure based on transparent promotion and assessment

### Measures for implementation

### a) ongoing

The government has placed a considerable emphasis on administrative reform. A strategy has been adopted and an inter-ministerial committee announced to oversee the implementation of the strategy. However, implementation of the reform process remains at an early stage and this should be the focus of subsequent activities. This being said, specific measures that are worth noting are:

i) Much of the necessary secondary legislation has been adopted although a certain amount of revision is required this revision process has been launched). Setting up structure to implement legislation is an issue that still needs to be addressed.

iv) The National Agency for Civil Servants is developing a project to revise the existing system for promotion and assessment (with EU support).

### b) planned

iii) A National Institute for Administration (primarily a training body for Civil Servants) was established during 2002. The main planned actions for NIA strengthening are:

- In the domain of the acceleration of the public administration reform: creating a corps of senior civil servants and the legal statute applicable to them
- Creating a Corp of professional public managers, within the Civil services.
- Designing and implementing the following mechanisms:

- A scheme for recruiting and promotion of civil servants;

-The salary system for civil servants, in order to improve the professional performances of the civil servants;

- Implementing the system for the evaluation of civil servants performances

- A mechanism for human resources planning in the civil service.
  - Developing a Civil Service Ethic Code

### Contribution to National Development Plan/ Structural Funds Plans

All projects in this Sector Fiche have links to the two priority axes in the Operational Programme for Administrative Capacity Development (OP ACD).

### Cross Border Impact

Not Applicable.

#### - Description

#### Background and justification:

Note: This section should be read in conjunction with the equivalent sections in the 2004 and 2005 Sector Programme fiches.

#### Link to Government Sector Strategy

There has been a clear and direct link between the projects outlined in the Sector Programme fiche and the Government's PAR strategy going back to 2001. Chapter 11 of the current government programme sets out the direction proposed for Public Administration Reform. The Government's objectives for Public Administration Reform are:

- Reform of basic public services and of the public utilities of local interest
- Consolidation of the process of fiscal and administrative decentralization;
- Strengthening the institutional capacity of the structures within local and central public administration;

Regarding the strengthening of public policy and coordination process, two important normative acts were adopted. The project regarding the standard public policy formulation procedures was finalized and, on 14 July 2005 was approved by GD no. 775/2005. The G.D. includes procedures for the elaboration, implementation and evaluation of policies at central level. At, the same time a reform of the inter-ministerial bodies has been initiated through the G.D. no. 750/2005. According to this normative act, 11 permanent inter-ministerial bodies have been established on the following topics: justice and home affairs, European and foreign affairs, European integration, economy, market, competitiveness and business environment, administration and public function as well as local communities, social affairs and health, education, culture, sports and minorities, agriculture and rural development, regional development, infrastructure and tourism, the inter-ministerial council for crises and the one for strategic planning.

The stage of the implementation of the Updated Strategy concerning the acceleration of the public administration reform in Romania was evaluated and approved according to the Memorandum No.8092/25<sup>th</sup> from April 2005.

The PAR strategy makes a contribution to horizontal public administrative and judicial capacity (PAJC) in support of preparations for accession. In particular, the completion of the civil service reforms is an important contribution to addressing the political criteria for accession. The decentralization/ de-concentration reforms contribute to strengthening capacity for the general absorption of structural funds. The policy formulation process reforms are important institution building activities to support public administration in a post-accession context.

The targeted number of beneficiaries from the decentralization projects is 60 and the number of trainees on INA programmes is 100.

There were consultations with different NGO's (Pro-Democratia, Transparency International, Academic Romanian Society) on the opportunity of continuing the Young Professionals Scheme, during the meeting of legislative framework approval process and also within the Public Managers Commission.

As concerning the decentralization projects, NPO's were consulted (Communes Assosciation, The Romanian Local Authorities Federation) as well as the specialists in public services field within the Ministry of Administration and Interior.

# Sectoral rationale

#### Gaps identified on the three main domains of the reform:

Although concrete actions were taken between 2001 and 2004 under the plan of reform, focusing mainly on **creating the necessary legislative and institutional framework** for improving and strengthening public administration, technical analyses carried out by Romanian experts, with the support of foreign consultants, revealed the deficiencies set out in the gap analysis tables set out on the following pages. As the 2004 and 2005 projects have not been completed the full gap analysis is shown.

The projects take account of recommendations in the Regular Country Monitoring Report issued by European Commission on October 2005 and seek to deliver outputs and results that address the specific issues raised. The sequencing of project programming within the Sector is unchanged to the one set in 2004, although some delays in contracting have arisen due to unforeseen difficulties in running tenders.

The "Development strategy of the National Institute of Administration" marks an important step in institutional strengthening. In this respect NIA's priorities for 2006 - 2009 lie in the field of evolution as a client-oriented continuous training institute; self-assertion on the continuous training market through the quality of the programmes and services provided and, the improvement of NIA's public image and visibility.

Project no.	Title	Means required to implement	Relates to priority nr
4.	Develop a corps of professional civil servants and the competences necessary for supporting Romania's public administration as a Member State of the EU	ТА	1
5.	Adapting the Young Professional Scheme to the	TA	1

#### 3.2.1. Identification of projects

	needs of the Civil Service Reform		
6.	Further NIA and RTCs support in addressing the challenges raised by the accession process	TA	1

Project no.	Title	Means required to implement	Relates to priority nr
3.	Strengthening local public administration capacity to manage the decentralized services	GS	2

Proje ct no.	Title	Means required to implement	Relates to priority nr
1.	<ul> <li>Developing the public policy formulation process as core priorities of the Government by: <ol> <li>disseminating best practices and revising methodologies and procedures according to the assessment results (by end year 2007)</li> <li>increasing impact assessment capacities at the line ministries and setting up the methodological tools and guidelines in order to better substantiate public policies (together with line ministries)</li> </ol> </li> <li>III. strengthening the strategic planning capacities and elaborating performance indicators in 3 pilot ministries based on which methodological framework for all ministries will be further developed (together with line ministries)</li> </ul>	TA	3
2.	Increasing the effectiveness of the documents flow between GSG and line ministries by contracting technical assistance for designing and implementing a "e-portfolio"- a specialized IT application for managing documents submitted in the Government meeting and Preparatory meetings)	ТА	3

# 3.2.2. Sequencing

The full sequencing of 2004-2006 projects based on the Gap Analysis is set out in the following table, against a checklist of project outputs.

# For Civil Service Reform:

Gap	Action	Progra	Programming (Project Reference)			
		2004	2005	2006		
Human Resources expansion/enhancement						
Lack of sustainability in managing and implementing the civil service reform;	Improving HR management instruments within the public sector and integrating them into a unitary management system;		Project1.2(NACS)Project1.5(CUPAR)	Project 1.5 (CUPAR)		
Current recruitment and promotion systems within the civil service are not in line with the civil service reform objectives			Project 1.5 (CUPAR)	Project 1.5 (CUPAR)		
Lack of unitary standards regarding recruitment and promotion within the civil service;	Reviewing the systems for selection, performance assessment and promotion by merit and proposing and implementing improvement recommendations	Project 1.1 (NACS)	Project 1.5 (CUPAR)	Project 1.5 (CUPAR)		
The lack of an integrated system of training and professional development of civil servants and the inability of the public sector to attract high qualified young professionals;		Project 1.6 (NIA)	Project 1.6 (NIA)	Project 1.5 (CUPAR)		
Low capacity of the middle and high civil servants in the Romanian administration	Creating a corps of professional public managers	Project 1.4, (NIA)	Project 1.5 (CUPAR)	Project 1.4(NIA) Project 1.5 (CUPAR)		
The lack of a structured network of trainers in the field of public administration; There is a need for a clearer and	1	Project 1.6 NIA	Project 1.6 NIA	Project 1.6 NIA		

<sup>1</sup> See annex 6 below.

visible mechanism of coordination between the activities of NIA and the 8 Regional Centers respectively. The mechanism should first and foremost address the attribution of responsibilities with regard to the quality standards in training. 2				
An assessment of the existing Romanian training institutions, nominates the National Institute of Administration as having the highest potential in successfully implementing the training programs on Structural Funds with the recommendation of further support in institutional strengthening. 3	Strengthening NIA's capacity to implement training programs	Project 1.6 NIA	Project 1.6 NIA	Project 1.6 NIA
Not enough funds for participation of the civil servants in training programs;	* not depending on Phare assistance			
Unclear assignment of responsibilities of HR departments within institutions of the public administration in terms of ongoing training of own personnel;				
No correlation between the training of civil servants and their career development;	Creation of an integrated system of training	Project 1.4 (NIA)	Project 1.6 (NIA)	Project 1.6 (NIA) Project 1.5 (CUPAR)
Existence of some major organizational and operational differences between ongoing training providers;	all providers of training in public	Project 1.6 (NIA)	Project 1.6 (NIA)	<b>Project 1.6</b> (NIA)

<sup>2</sup> The ECOTEC "Interim Evaluation of the European Union pre-accession instrument PHARE" from 30<sup>th</sup> of January 2006 <sup>3</sup> The evaluation of the EU funded project "Training on project design and preparation, monitoring and assessment, and project Cycle Management" RO 2002/000-586.03.01.03

Low HR capacity of NIA and RTCs	Training programmes for NIA's and RTCs' staff in accordance with the needs identified Train of trainers programmes	Project 1.6 (NIA)	Project 1.6 (NIA)	Project 1.6 (NIA)
Procedural&system development				
Poor logistical support of NIA and	Improving the logistical support of NIA and	Project 1.6 (NIA)	Project 1.6, 1.7.	Project 1.6, (NIA)
RTCs	RTCs		(NIA)	
Regulatory and enforcement activity				
Difficult integration of the Public Managers within the administrative system	Adaptation of YPS to the further needs of civil service reform, securing due integration of the YPS graduates. <sup>4</sup>		Prot 1.5 (CUPAR)	Project 1.5 (CUPAR)
Lack of unitary standards regarding recruitment and promotion within the civil service;	Reviewing the systems for selection, performance assessment and promotion by merit and proposing and implementing improvement recommendations	(NACS)	Project 1.5 (CUPAR)	Project 1.5 (CUPAR)

<sup>&</sup>lt;sup>4</sup> This action will be supported by the development of the legal and institutional mechanisms for an efficient absorption of the Public Managers in the Public Administration system through Phare 2003

# For Decentralization/ De-concentration process:

Gap	Action		Programming (Project Reference)				
		2004	2005	2006			
Legislation (primary and secondary)							
Lack of political consensus on goals of decentralization resulted in an inconsistent implementation process;	Primary and secondary legislation as well as mechanisms and procedures to sustain the implementation of the decentralization process; An inter-ministerial technical committee in charge with the coordination and monitoring of the decentralisation / de- concentration process;	Project 2.1 CUPAR Project 2.1CUPAR					
Regulatory and enforcement activity	•						
The process of decentralization and de-concentration of public services is incomplete: competencies of different levels of government have not been adequately clarified and have not been matched with corresponding transfers of property and fiscal resources	Sectoral groups in charge with elaboration of the sectoral strategies and impact analysis related to the decentralization in certain domains;	Project 2.1 CUPAR					
Local autonomy is limited by the lack of rule based and predictable intergovernmental financial transfers, inadequate locally generated revenues, and constraints on local decision- making capacity to approve and control activities;	Addressing the lack of predictability and clarity in allocating inter-governmental transfers limits local planning and efficient use of resources; Professionalisation of the Prefect function	Project 2.1 & 2.2. (CUPAR)	Project 2.3.3 (CUPAR	Project 2.3 (CUPAR)			

Transfer of competencies was not accompanied by the transfer of adequate financial resources and decision making rights to the local level;	ensure just distribution and equity among		Project CUPAR	2.3.1.	Project (CUPAR)	2.3
Limited capacity to generate own source revenues for local governments limits financial autonomy;			Project (CUPAR	2.3.3	Project (CUPAR)	2.3
Human Resources						
expansion/enhancement						
The earlier practice of responsibility allocations without sufficient preparation and training created limitation in local capacity to efficiently organize the service delivery.	strengthen the transparency of local public finance. Important rights were not transferred to the local authorities that	Project 2.2 (CUPAR)	Project (CUPAR	2.3.1	Project (CUPAR)	2.3

# For policy formulation process:

Gap	Action	Programming (Project Reference)		
		2004	2006	
Regulatory and enforcement activity				
made so far have been driven by external factors (financial requirements, etc.). Internally generated reforms to promote more	adoption of Government Decision 775 on standard procedures for policy-making, alongside with the set up of Public Policy Units in each line ministry starting with 1 <sup>st</sup>			
Procedural & systems development				
A limited degree of efficiency for the developing/ organization of government meetings;				

<sup>&</sup>lt;sup>5</sup> World Bank's PAL 2 Programme PPIBL financed technical assistance

	organization of the Government.		
Limited capacity of the General		$TW^6$	PHARE 2006 TA
Secretary of the Government to achieve a political coordination and a	the central level (General Secretariat of the Government and Prime-Minister		( <b>PPU</b> )
qualitative monitoring of the process	Chancellery) regarding the instruments for		
of government policy implementation;	formulation, monitoring and evaluation of		
······································	the public policies (cost-benefit analysis,		
	impact analysis)		
Limited technical substantiation of the	Impact analysis related to the public policy	$TW^6$	PHARE 2006
decision's proposals regarding the	implementation process;	1 //	TA(PPU)
public policies sent for Government	0		(
approval (generally there is a budget			
estimate but there are no studies for	linking national policy to the budget (Public $\frac{7}{7}$		
social and economical impact);	Expenditure Management). <sup>7</sup> .		
Lack of transparency within the	Testing the effects of public policies within	TW <sup>6</sup>	PHARE 2006
process of public policies formulation	a pilot phase;	1 1	TA(PPU)
and implementation;			
Human Resources			
expansion/enhancement			
For Policy development, a primary	Training on approaches and procedures	TW <sup>6</sup>	PHARE 2006
responsibility of the Government, a	regarding the measurement of the public		TA(PPU)
subordinate role has been accorded	policies effects;		14(110)
and is not supported by technical	Specialized training for the key actors		
analysis. Also, coordinating	involved within the policy formulation		

<sup>&</sup>lt;sup>6</sup> PHARE RO 2003/005-551.03.03, RO 2003 PHARE OT 10 "Strengthening the Romanian Government capacity for policy management and coordNIAtion and for decision making" <sup>7</sup> The GSG has submitted an official request to Ministry of Public Finance - PAL Management Unit - PPIBL for a technical assistance under the Dutch Grant <sup>8</sup> The training sessions were organized by Public Policy Unit with the support of World Bank

mechanisms are poorly developed,	process.		
failing to provide proper coherence to	Training sessions with more than 100		
the decisional process	representatives of line ministries on the new		
Ĩ	public policy procedures during June and		
	July 2005 <sup>8</sup>		

Note: Extensive reforming process of the existing inter-ministerial bodies, following mainly the inter-ministerial communication and coordination efficiency. The inter-ministerial structures organization reform presumes adopting a new system to act according to the principle: an inter-ministerial body for a major domain and more problems afferent to this body<sup>9</sup>.

<sup>&</sup>lt;sup>9</sup> This reform was put in place by Government Decision no.350 /2004

# Results

### Priority 1: Support the civil service reform

### 3.3.1.1 Purpose

To make significant progress in the area of Civil Service Reform

### **3.3.1.2 Results**

### Task 1.4

Develop a corps of professional civil servants and the competences necessary for supporting Romania's public administration as a Member State of the EU

#### Task 1.5.

1.5.1 INA strengthened for training the YPS participants and in-service training for public managers

1.5.2. NACS strengthened for managing the career development of the public managers and the testing system for organising the open national competition

1.5.3. CPM strengthened for assuming the monitoring role within the open national competition process and the monitoring role of the evaluation process of public managers

1.5.4. Monitoring system for analysing the impact of the public managers on the public administration elaborated and implemented

1.5.5. Planning, control and monitoring systems and procedures to enable CUPAR to manage the YPS scheme and the Public Managers are further developed

1.5.6. YPS third cycle is adapted to the current needs of the civil service reform and implemented accordingly

1.5.7. A set of tests and procedures for open national competition elaborated and implemented

1.5.8. An analysis regarding the public administration needs for public managers positions completed

#### Task 1.6.

Further INA and RTCs support in addressing the challenges raised by the accession process

- INA supported in facing the challenges raised by Romania's new European statute
- Coordination mechanism between INA and RTC's operational
- The functionality of the in-service training partners network assured
- RTCs' further supported in implementing in service training strategy

# Priority 2: Support for the decentralization / de-concentration process in the Romanian Public Administration

### 3.3.2.1 Purpose

To make significant progress in the area of decentralization and de-concentration process

### 3.3.2.2 Results Project 2.3

The Grant Scheme for The Local Government Development and Modernisation Fund is implemented.

The scheme will permit the financing of projects in key areas for the modernisation of local public administration: public management, human resources, communication, partnerships and participatory processes with citizens.

#### Means: Grant Scheme

# **Priority 3:** Supporting the public administration reform through the improvement of the public policy formulation process

#### 3.3.3.1 Purpose

Improving the coordination, policy formulation and strategic planning system at central level

### 3.3.3.1 .2 Results

A better coordinated and substantiated policy formulation and strategic planning system at central level.

# **Results Project 3.1**

- Diagnose report
- Best practices brochures disseminated to line ministries
- Trained staff on impact assessment- 60 civil servants from PPUs in GSG and line ministries and 30 high civil servants in line ministries
- Handbook on economic assessment
- Handbook on social assessment
- Handbook for strategic planning methodology
- 3 set of performance indicators for each pilot ministry
- Guidelines developed to support the line ministries in designing performance indicators
- Trained staff on strategic planning and performance indicators- 60 civil servants from PPUs in GSG and line ministries and 30 high civil servants in line ministries

#### **Results Project 3.2**

• A more effective communication network through developing "e-portfolio"- a specialized IT application managing documents submitted in the Government meetings and Preparatory meetings

Means: Technical Assistance Contract

### Activities

# Priority 1

Task 1.4.

**1.4. Develop a corps of professional civil servants and the competences necessary for supporting Romania's public administration as a Member State of the EU** through:

1.4.1. Supporting INA in delivering specialized programmes

-Prepare INA's training managers in order to ensure the sustainability of the specialized programme for senior civil servants

- Assisting INA's specialized training department in completing the selection of the candidates for specialized programmes

-Supporting INA in delivering the training modules of the specialized programmes where there is a lack of national expertise

-Organising and financing internships in EU Member States Public Administrations for a certain percentage of the specialized programmes' participants

-supporting INA's specialized training department in using and implementing elearning methods in delivering training modules

1.4.2. Adapting INA's short term courses to the requirements imposed by the membership to the EU

-reviewing the training needs analysis (identifying new topics where there is a lack of national expertise)

-reviewing the key training areas for the short term courses

-selection of external trainers who are to be trained in the new topics

-delivering the ToT

-assisting INA's training managers involved in short term courses in implementing these courses

-organizing a pilot programme which will target experience exchange between Romanian civil servants and foreign countries public sector personnel in an identified area of expertise

#### Task 1.5

**1.5.1** Strengthening the capacity of the institutions involved in the management of Public Managers career (INA, NACS, CUPAR and Commission for Public Managers - CPM)

- a. Training for the staff of the involved institutions in YPS development
- b. Developing training curricula for YPS participants
- c. Developing in-training service curricula for Public Managers
- d. Training delivery to the members of the CPM

**1.5.2** Elaborating and implementing a monitoring system for analysing the impact of the public managers on the public administration system on the basis of a performance indicators system

a. Elaborating Performance Indicators system

b. Elaborating and performing a monitoring procedure

- **1.5.3.** Further developing the YPS (selection, training, evaluation and internships)
  - a. Selection process organized for YPS candidates
  - b. Training delivery to YPS participants to INA
  - c. EU internships organized and financed
  - d. Evaluation the YPS participants
  - e. Conducting an analysis of the needs for Public Managers positions

**1.5.4**. Elaborating and implementing a testing system for organising the open national competition in order to access to the public managers positions

- a. Elaborating & implementing a set of national tests
- b. Organizing the national competition

#### Task 1.6.

**1.6.** Further INA and RTCs support in addressing the challenges raised by the accession process

through:

1.6.1. Further INA's support in facing the challenges raised by the new European statute

Preparing INA's personnel for the new challenges raised by the new European statute Supporting INA in updating the training strategy to the new requirements

Assisting INA in revising the organizational strategy

Supporting INA's personnel in charge with managing and operating the languages labs

Additional guidance in promoting INA's image (marketing, PR activities, newsletter Support in developing NIA's a research and consultancy activities

Support for the Institute in the transition to Structural Funds

Further support in developing e-learning training manuals

*1.6.2. Sustaining the coordination mechanism between INA and RTC's* Developing INA – antennas – RTCs – NACS network

Developing INA – antennas – RTCs – NACS network

Organizing different seminars/conferences in a joint collaboration with RTCs

1.6.3. Further support in assuring the functionality of the in-service training partners network

Support in designing a SWOT analysis of the in-service training partners network Proposals for improving the in-service *training partners' network* 

1.6.4. Further RTCs' support in implementing in service training strategy

Reviewing the training needs analysis (identifying new topics at the local administration level where there is a lack of national expertise)

Preparing RTC's personnel for the new challenges raised by the new European statute Train of trainers programmes in the topics identified

Supporting RTCs in developing their organizational strategy (including annual action plans and budgeting)

### Priority 2

### Project 2.3

The grant scheme is dedicated to local administration authorities that can conclude partnerships with NGOs and other local authorities (county or local).

The guidelines for applicants will be set up by CUPAR and will be available on the Ministry's web-site.

The promotion campaign will be held by CUPAR in each county with the support of the Prefecture's offices in order to stir up good quality projects. (from CUPAR's experience all documents of the scheme will be translated in Romanian for a better understanding of the rules involved)

The selection of good projects will be in accordance with the criteria and grid from the guidelines for applicants approved by the Contracting Authority.

Eligible activities under the grant contracts:

- projects to sustain the development of partnership at local level, with a purpose of a more efficient application for the structural funds;
- projects to sustain the specialised personnel training to be prepared for the decentralized process of local governing, for a better management of the

decentralised public services and for a more efficient management of the future structural funds;

- projects to ensure the endownment with IT equipment as well as other components, equipments, instruments for ensuring a more efficient activity in the local governing and in the public services at local level;
- projects to sustain the elaboration of studies, research, strategies for local development;
- projects to support the dissemination of information concerning the local governing and improvement of public services at local level;

Maximum amount of one grant will be 110.000 Euro

# Priority 3, Project 1-

- Diagnose report
- Best practices brochures disseminated to line ministries
- Trained staff on impact assessment- 60 civil servants from PPUs in GSG and line ministries and 30 high civil servants in line ministries
- Handbook on economic assessment
- Handbook on social assessment
- Handbook for strategic planning methodology
- 3 set of performance indicators for each pilot ministry
- Guidelines developed to support the line ministries in designing performance indicators
- Trained staff on strategic planning and performance indicators- 60 civil servants from PPUs in GSG and line ministries and 30 high civil servants in line ministries

# **Priority 3, Project 2**

### Project 3.1

I.

- Creating a working group for drafting an assessment report regarding the stage of the policy reform and for recommending the necessary adjustments
- Collecting best practices concerning public policy process and elaborating the brochure
- Organizing a seminar for disseminating the best practices

II.

- Developing new responsibilities to be exercised by high civil servants within the new framework;
- Delivering specialized training for senior civil servants regarding the impact assessment
- Workshops and specialized training for PPU's both at GSG and line ministries
- Elaborating the Handbook on economic assessment
- Elaborating the Handbook on social assessment

III.

- Delivering specialized training for senior civil servants regarding the strategic planning and performance indicators
- Workshops and specialized training for PPU's both at GSG and line ministries for strategic planning and performance indicators
- Elaborating the Guidelines necessary for line ministries to design the performance indicators
- Elaborating the Handbook necessary for line ministries for strategic planning methodology

# **Activities Project 3.2**

 Developing "e-portfolio"- a specialized IT application managing documents submitted in the Government meeting and Preparatory meetings

# Linked Activities:

### **Completed Projects**

Project	Linked to:
PHARE RO-0106.01 Strengthening the institutional capacity of the Ministry of Administration and Interior	Priority 1 and Priority 2 (CUPAR)
PHARE RO-0106.02 Develop an operational National Institute of Public Administration capable of educating competent civil servants	Priority 1
PHARE RO-0106.03 Creating a Corps of Professionals Public Managers within the Civil Service	Priority 1
PHARE RO-0106.04 Design and implement mechanisms for the full application of the Civil Servants Statute Law	Priority 1
PHARE Twinning Light - RO 0106.06 - Support to the drafting process of the public administration reform strategy	All Priorities

#### **Current active Projects**

Project	Linked to:
PHARE RO-2002/000-586.03.02 "Decentralisation and	Priority 2
development of the Romanian local public administration	
Phare RO-2003/005-551.03.01 "Support for public	Priority 1
administration reform process in Romania	
Project RO/04/IB/OT/01 "Decentralisation and	Priority 2
deconcentration	
PHARE RO/04/IB/02 – "Support for decentralization/	Priority 2
deconcentration process and its monitoring"	
PHARE RO 2003/005-551.03.03, RO 2003 PHARE OT	Priority 3
10 "Strengthening the Romanian Government capacity	
for policy management and coordination and for decision	
making"	

World Bank's PAL 2 Programme PPIBL	Priority 3

### Other donors Completed Projects

Project	Linked to:
OECD-SIGMA assesses regularly the progress of the reform and provides its support on the following areas: the Civil Service Reform (legal framework and	Priority 1 Project 2005: 1.5 (CUPAR)
institutional development), the Management of Public Expenditure, the Public Procurement system, the policy- making system and the financial control and audit	Project 2006: 1.5 (CUPAR) Priority 2:
systems;	Project 2005: 2.1, 2.3 (CUPAR)
	Project 2006: 2.3 (CUPAR)
The World Bank has actively supported the	Project 2004:2.1
decentralisation of the administration, through PAL 1 and PAL 2.	(CUPAR) Project 2005: 2.3 (CUPAR)
	Project 2006: 2.3 (CUPAR)
In 2000, a training programme on European affairs and public management for civil servants was started by ENA and financed by France Government;	Priority 1 Project 2005:1.6 (NIA)
The European Bank for Reconstruction and Development (EBRD) and United States Agency for International Development (USAID), in the local government financial management sector;	Priority 2
Dutch, (MATRA project) Spanish and French bi-lateral assistance, providing training to central and local administrative staff;	Priority 1 Project 2005:1.6 (NIA) Project 2004: 2.1(CUPAR)
Canadian technical assistance for National Institute of	Priority 1
Administration through a training of trainers program;	Project 2005:1.6 (NIA)
Training possibilities in German public administration have been organized on the basis of a cooperation agreement between National Institute of Administration and InWent GMBH (Bonn, Germany).	Priority 1 Project 2005:1.6 (NIA)

# **Current active Projects**

Project	Linked to:
"Romania Capacity Building" developed in partnership	Priority 1
with the Institute of Public Administration (IPA) -	Project 1.3 (NIA)
Ireland and financed by the Irish Department of Foreign	
Affairs (DFA)	

Project A0 11600000 "Adapting the central public administration level to the EU structures" developed in partnership with InWent, Germany and Institute for	Priority 1 Project 1.3 (NIA)
European Politics, Germany	
Partnership agreement with Bakov, Germany	Priority 1
	Project 1.3 (NIA)
World Bank (PAL2)Technical Assistance through	Priority 3:
PPIPL-Strengthening capacity of the Government for	(TA) on PHARE 2006
public policy formulation at central level	
The GSG has submitted an official request to Ministry of	Priority 3
Public Finance - PAL Management Unit - PPIBL for a	
technical assistance under the Dutch Grant	

Governmental Actions undertaken in the field:

Action	Linked to:
Adoption of two Emergency Government Ordinances (no.56/2004 and no.6/2005) regarding the special statute of the Civil Servants called Public Managers. Through the Prime-Minister's Decision no. 461/2005, the Public Managers Commission was set up and entered into force.	Priority 1
The laws package concerning the public administration reform, comprising of: Law on decentralization of the public administration, Law for the modification and completion of Law 215/2001, Law for the modification and completion of Law 188/1999, Law on local public finances. All package is forwarded to the Parliament for approval.	Priority 1 Priority 2
Adoption of Government Decision on standard procedures for policy-making (entering into force starting with 1 <sup>st</sup> of January 2006); alongside with the set up of Public Policy Units in each line ministry starting with midyear 2005.	Priority 3
The project regarding the standard public policy formulation procedures was finalized and, on 14 July 2005 was approved by GD no. 775/2005. The G.D. includes procedures for the elaboration, implementation and evaluation of policies at central level.	Priority 3
A reform of the inter-ministerial bodies has been initiated through the G.D. no. 750/2005. According to this normative act, 11 permanent inter-ministerial bodies have been established on the following topics: justice and home affairs, European and foreign affairs, European integration, economy, market, competitiveness and business environment, administration and public function as well as local communities, social affairs and health, education, culture, sports and minorities, agriculture and rural development, regional development, infrastructure and tourism, the inter-ministerial council for crises and the one for strategic planning.	Priority 3

#### Lessons learned:

In the light of the political criteria laid down by the Copenhagen European Council, the 2001-2005 EU Regular Reports on Romania's progress toward accession are considering the establishment of the key institutions involved in the reforming the public sector: Central Unit for Public Administration Reform, National Institute of Administration, National Agency for Civil Servants and the General Secretariat of the Government. Moreover, the above reports as well as Phare interim evaluation and monitoring reports (SIGMA) are emphasizing some issues raised during implementation of the projects.

See annex Lessons Learned 2006.

#### - Institutional Framework

The Ministry of Administration and Interior (MAI) coordinates and implements the multidirectional Public Administration Reform strategy. There are three institutions, under the aegis of the MAI, involved in the first priority of the strategy – the creation of the professional corps of civil servants:

CUPAR is responsible for the overall co-ordination of the strategy and manages the implementation of the Young Professional Scheme.

NIA is responsible for implementation of the in-service training strategy at both national and local level. The eight Regional Training Centres are separate entities from NIA responsible for meeting the training requirements at local government level for the counties they serve. The NIA and the Regional Training Centres are developing the capacity, qualifications including accreditation, networks and international contacts to promote and support the transformation process of the Romanian public administration in an effective way but have limited material and human resources, considering the complexity of current reform activities and the training requirements of the reform process.

For Priorities 1 and 2, the Implementing Authority (IA) is the Ministry of Administration and Interior through NIA (Priority 1 – Projects 1 and 3), CUPAR (Priority 1 – Project 5 and Priority 2) and PPU (Priority 3).

The PIU established at the CUPARs' level related to the public administration reform, will become responsible for the overall technical co-ordination and proper implementation of the activities identified under the components listed in this sector program fiche (Priority 1 - Project 5 and Priority 2).

The PIU established at the NIAs' level related to the civil service reform, will become responsible for the technical implementation of the activities identified under the components listed in this sector program fiche NIA (Priority 1 –Projects 4 and 6).

The PIU established within PPU from GSG is responsible for the overall technical coordination and proper implementation of the activities identified under the priority 3 components.

The persons appointed as Senior Programme Officers (SPO) who will also chair the Steering Committees will represent them. The SPO's are in charge with the technical implementation of the projects in accordance with Phare rules, regulations and procedures. Programme Implementation Units (PIU) are established to assist the PO's in the technical implementation in accordance with Phare rules, regulations and procedures. The Programme Implementation Units will provide technical support and expertise for the implementation of the technical assistance projects.

The Central Finance and Contracts Unit (CFCU) will be responsible for the tendering, contracting, administration, accounting, payments and financial reporting for the technical assistance projects, acting as Implementing Agency.

#### - Detailed Budget

Indicative budget for the budgetary year 2006

	Phare/Pre-	C	o-financing		Total
	Accession				Cost
	Instrument				
	support				
€M		National	Other	Total	
		<b>Public Funds</b>	Sources	Co-	
		(*)	(**)	financing	
				of Project	
Year 2006 -					
Investment support					
jointly co funded					
Priority 2, Project 3	4.90	1.48 *		1.64	6.54
, <u>,</u>		0.16			
Investment support – sub-total	4.90	1.64		1.64	6.54
% of total public funds	max 75 %	min 25 %			

Year 2006 Institution					
Building support					
Priority 1, Project 4	1,00				1,00
Priority 1, Project 5	3.10	0.40**		0.40	3.50
Priority 1, Project 6	1,00				1,00
Priority 3	4.00				4.00
Project 1	2.50				2.50
Project 2	1.50				1.50
IB support	9.10	0.40		0.40	
					9.50
Total project 2006	14,00	2,04	0,00	2,04	16.04

\* Co-financing assured from the Romanian Ministry of Administration and Interior + Local co-financing assured by the Grant final beneficiaries. For the abovementioned investment projects **the joint co-financing mechanism** will be applied.

\*Parallel Co-financing

# - Implementation Arrangements

# Implementing authority

Priority 1	Priority 2	Priority 3
Contact details for the IA:	Contact details for the IA:	Contact details for the IA:
Contact details for the IA:	Ministry of	General Secretariat of the
Ministry of	Administration and	Government,
Administration and	Interior,	Attn Victor Giosan,
Interior,	Department for Public	Secretary of State
Central Unit for Public	Administration Reform -	Piata Victoriei no. 1
Administration Reform	Central Unit for Public	Bucharest, Romania
Attn Liviu Radu,	Administration Reform	Tel: +40.21. 314.92.03
Secretary of State	Attn Liviu Radu,	Fax: +40.21.314.91.43
Eforie str., no. 3, Bldg. A	Secretary of State	
Sector 5, Bucharest,	Eforie str., no. 3, Bldg. A	
Romania	Sector 5, Bucharest	
Tel: +40.310.35.61	Tel: +40.310.35.61	
Fax: +40.310.35.62	Fax: +40.310.35.62	
Contact details for the IA:		
Ministry of		
Administration and		
Interior,		
National Institute of		
Administration		
Attn Adrian Badila,		
General Director -		
Secretary of State		
5 <sup>th</sup> , Str. Eforie, Sect. 5,		
Bucharest, Romania		
Tel/Fax: +40.21.		
314.50.56		

#### **Contracting Party:**

Central Finance and Contracts Unit (CFCU) Attn Carmen Rosu Director, CFCU Ministry of Finance 44, Mircea Voda str. Sector 3, Bucharest, Romania Tel: (401).326.55.55, 326.02.02 Fax: (401).326.87.30 *e-mail: carmenrosu@cfcu.ro* 

# Twinning

N.A.

Non-standard aspects

N.A.

#### Contracts

• Priority 1

#### **Project 4**

Project 6

1 TA contract for both projects. Implementation period-14 months Value-2,000,000 Euros

### **Project 5**

1 TA contract Implementation period- 20 months Value-3,100,000 Euros (EU budget) Parallel co-financing-400,000 Euros Implementation Period

# • <u>Priority 2</u>

#### **Project 3**

60 Grant contracts will be concluded with the final beneficiaries (local authorities) Implementation period- max. 12 months Max. value of 1 Grant contract-110,000 Euros

### • Priority 3

Project 1 1 TA contract Implementation period- Oct.2007-Sept.2008 Value-2,500,000 Euros. Project 2 1 TA contract Implementation period- Feb.2007-Jan.2009 Value-1,500,000 Euros

#### - Implementation Schedule

Under the assumption that the Financial Agreement is signed until November 2006 the ToR's for the contracts will be ready in July 2007, the implementation of the projects with their components is expected to start in October 2007.

Under the same assumption, the guidelines for the grant scheme will be launched earlier, and will run in parallel with the grant scheme under Phare 2005, having the same technical assistance as support. Both schemes have an estimated start in November 2006.

- 1.1 Start of tendering/call for proposals December 2006
  - 1.2 Start of project activity January 2007
- 7.3 Project completion January 2009

The completion for each project is foreseen as following:

Priority 1	Priority 2	Priority 3
Project4: Jan 2009	Project 3: December	Project1: Sep 2008
	2008	
Project5: Jan 2009		Project2: Jan 2009
Project6: Jan 2009		

- Equal Opportunity

N/A

- Environment

N/A

- Rates of return

N/A

- Investment criteria

N/A

# Catalytic effect

The Romanian Government, as well as, the local authorities have not yet the financial resources to support such investments related to modernisation of Public services, which are subject to this Grant Scheme.

# **Co-financing**

The co-financing will be joint: the national co-financing (Ministry's budget)-90% from the total amount, and local co-financing (local authorities)-10% from the total amount compulsory through the Applicant Guide

# Additionality

No other financing sources are in use for local authorities to support a similar intervention .(e.g Grant Scheme)

# Project readiness and size

As the local authorities already applied to two successful previousl Grant Schemes, they declared that they are keen and ready to apply for a new one and they developed the necessary experience for managing such contracts and they have already foreseen the financial resources for co-financing these contracts.

The maximum size of the Grants is 110,000 Euros.

### Sustainability

The investment consisting in grant scheme address to local authorities which will not affect under no means environment.

All the projects have to be sustainable to be financed under this scheme. This is one of the requirements for the projects developed under the Grant Scheme.

# Compliance with state aids provisions

We confirm that the investment under the present project will full observe the state aid provisions

### - Conditionality and sequencing

**Project 1.5.** The institutional and legal framework is put in place before the start of the project in order to assure an efficient integration of the Public Managers within the Public administration system. An impact independent evaluation regarding this project will be developed.

### **ANNEXES TO PROJECT FICHE**

- 1. Logframe in standard format for each project; sector monitoring sheet for sector programmes
- 2. Detailed implementation chart
- 3. Contracting and disbursement schedule, by quarter, for full duration of project (including disbursement period)
- 4. For all projects: reference list of feasibility/pre-feasibility studies, indepth ex ante evaluations or other forms of preparatory work.
- 5. Reference list of relevant laws and regulations
- 6. Reference list of relevant strategic plans and studies
- 7. Lessons learnt

Annex 1

	Logframe		
LOGFRAME PLANNING MATRIX FOR 20	06 PROGRAMMING	Program name and number	
		PHARE 2006/018-147.01.03	
Priority 1: Support the civil service reform		Contracting period expires	Disbursement period expires
		30.11.2008	30.11.2009
		Total budget: MEURO	Phare budget: MEURO 5.1
		5.5	
Overall objective	Relates to Copenhagen criterion and	List of other projects with same	1
	acquis chapter	objective	
To contribute to the general PAR goal by implementing public administrative reform in the areas of civil service reform, decentralization and de-concentration of public services, and the policy formulation process.	<ul> <li>stability of institutions guaranteeing democracy, the rule of law, human rights and respect for and protection of minorities (the 'political criteria');</li> <li>the ability to take on the obligations of membership including adherence to the aims of political, economic and monetary union (the <i>acquis communautaire</i>)</li> </ul>	Phare 2001; Phare 2003;Phare 2004; Phare 2005	
Specific purpose	Objectively verifiable indicators	Sources of Verification	Assumptions
To make significant progress in the area of Civil Service Reform and decentralization and de-concentration process and to improve the central and local public administration capacity and coordination within the public policy formulation process To be achieved through:	<ul><li>1.4.1. Number of specialized programs delivered</li><li>1.4.2. Number of short term programs meeting the requirements imposed by the membership to the EU delivered</li></ul>	INA annual reports Interim evaluation reports Country report	<ul> <li>The necessary legislative provisions, administrative arrangements and are provided to ensure the reform process continuation.</li> <li>Increased involvement, support and commitment of all ministries and other central and local</li> </ul>

Looframe

<ul> <li>Task 1. Develop a corps of professional civil servants and the competences necessary for supporting Romania's public administration as a Member State of the EU</li> <li>Task 1.5. Adapting The Young Professionals Scheme to civil service reform and implemented accordingly</li> </ul>	1.5.1: Number of YPS fourth cycle graduates placed and adequately integrated within the system as Public Managers	1	authorities for activities related to the reform process;
<b>Task 1.6</b> Further INA and RTCs support in addressing the challenges raised by the accession process		Training and organizational strategies INA and RTCs annual reports	
Results	Objectively verifiable indicators	Sources of Verification	Assumptions
<b>Task 1.4</b> Develop a corps of professional civil servants and the competences necessary for supporting Romania's public administration as a Member State of the EU	<ul> <li>1.4 .1. Number of specialized programs delivered</li> <li>1.4.2. Number of short term programs meeting the requirements imposed by the membership to the EU delivered</li> </ul>	INA annual reports Interim evaluation reports Country report	

Task 1.5.	1.5.1.1.Number of INA staff involved in	
1.5.1 INA strengthened for training the YPS		
participants and in-service training for public		
managers	1.5.1.2.Number of INA based YPS courses	
1.5.2.NACS strengthened for managing the		
career development of the public managers and		
the testing system for organising the open		
national competition	organising the open national competition	
1.5.3.CPM strengthened for assuming the		
monitoring role within the open national		
competition process and the monitoring role of	1.5.4.1:No. and relevance of the issued	
the evaluation process of public managers	monitoring reports	
1.5.4. Monitoring system for analysing the	1.5.5.1Number of trained and skilled	
impact of the public managers on the public	CUPAR staff managing the YPS scheme	
administration elaborated and implemented	1.5.5.2. Components of accelerated	
1.5.5.Planning, control and monitoring	mechanisms for selection, training, rotation,	
systems and procedures to enable CUPAR to	internships and "fast track" promotion are	
manage the YPS scheme and the Public	improved and introduced in the regular	
Managers are further developed	procedures	
1.5.6.YPS third cycle is adapted to the current	1.5.5.3. Use of a database on Corps of	
needs of the civil service reform and	Public Managers and new YPS candidates	
implemented accordingly	developed, updated and used on current	
1.5.7.A set of tests and procedures for open	activities of CUPAR	
national competition elaborated and	1.5.6.1: Number of public managers trained	
implemented	in the third cycle	
1.5.8.An analysis regarding the public		
administration needs for public managers	managers benefiting from fast track	
positions completed	promotion	
	1.5.6.3: Number of civil servants who	
	attended the internships.	
	1.5.7.1Number of candidates participating at	

	the open national competition system 1.5.7.2.Indicators specific to the tests applied in the open national competition 1.5.8.1: Number of institutions where YPS graduates are placed		
<ul> <li>Task 1.6. Further INA and RTCs support in addressing the challenges raised by the accession process</li> <li>INA supported in facing the challenges raised by Romania's new European statute</li> <li>Coordination mechanism between INA and RTC's operational</li> <li>The functionality of the in-service training partners network assured</li> <li>RTCs' further supported in implementing in service training strategy</li> </ul>	1.6.1. Training and organizational strategies updated (doc) 1.6.2. Number of training programs delivered through e-learning system 1.6.3. Number of INA and RTCs staff trained 1.6.4. The network between INA- antennas- RTCs-NACS	Training and organizational strategies INA and RTCs annual reports Newspapers articles Surveys Impact study	
Activities	Means	Resources	Assumptions
Task 1.4. 1.4. Develop a corps of professional civil servants and the competences necessary for supporting Romania's public administration as a Member State of the EU	Technical assistance contract		<ul> <li>Previous projects will be successfully implemented</li> </ul>

through:		
1.4.1. Supporting INA in delivering specialized		
programmes		
-Prepare INA's training managers in order to		
ensure the sustainability of the specialized		
programme for senior civil servants		
- Assisting INA's specialized training		
department in completing the selection of the		
candidates for specialized programmes		
-Supporting INA in delivering the training		
modules of the specialized programmes where		
there is a lack of national expertise		
-Organising and financing internships in EU		
Member States Public Administrations for a		
certain percentage of the specialized		
programmes' participants		
-supporting INA's specialized training		
department in using and implementing e-		
learning methods in delivering training		
modules		
1.4.2. Adapting INA's short term courses to the		
requirements imposed by the membership to		
the EU		
-reviewing the training needs analysis		
(identifying new topics where there is a lack of		
national expertise)		
-reviewing the key training areas for the short		
term courses		
-selection of external trainers who are to be		
trained in the new topics		
-delivering the ToT		
-assisting INA's training managers involved in		
short term courses in implementing these		
courses		

-organizing a pilot programme which will target experience exchange between Romanian civil servants and foreign countries public sector personnel in an identified area of expertise		
Task 1.5		
<ul> <li>1.5.1 Strengthening the capacity of the institutions involved in the management of Public Managers career (INA, NACS, CUPAR and Commission for Public Managers - CPM)</li> <li>e. Training for the staff of the involved institutions in YPS development</li> </ul>	Technical Assistance Contract	
f. Developing training curricula for YPS participants		
g. Developing in-training service curricula for Public Managers		
h. Training delivery to the members of the CPM		
<ul> <li>1.5.2 Elaborating and implementing a monitoring system for analysing the impact of the public managers on the public administration system on the basis of a performance indicators system</li> <li>a. Elaborating Performance Indicators system</li> <li>b. Elaborating and performing a monitoring procedure</li> <li>1.5.3.Further developing the YPS (selection, training, evaluation and internships)</li> <li>f. Selection process organized for YPS candidates</li> </ul>		
g. Training delivery to YPS participants		

	to INA		
h.	EU internships organized and financed		
i.	Evaluation the YPS participants		
j.	Conducting an analysis of the needs for Public Managers positions		
system compet manage	laborating and implementing a testing for organising the open national ition in order to access to the public ers positions Elaborating&implementing a set of national tests Organizing the national competition		
address accessi through 1.6.1. challen Prepari challen Suppor strategy Assistin strategy Suppor	arther INA and RTCs support in sing the challenges raised by the on process w: Further INA's support in facing the ges raised by the new European statute ng INA's personnel for the new ges raised by the new European statute ting INA in updating the training to the new requirements ng INA in revising the organizational	Technical Assistance Contract	

Additional guidance in promoting INA's image		
(marketing, PR activities, newsletter		
Support in developing NIA's a research and		
consultancy activities		
Support for the Institute in the transition to		
Structural Funds		
Further support in developing e-learning		
training manuals		
1.6.2. Sustaining the coordination mechanism		
between INA and RTC's		
Developing INA - antennas - RTCs - NACS		
network		
Organizing different seminars/conferences in a		
joint collaboration with RTCs		
1.6.3. Further support in assuring the		
functionality of the in-service training partners		
network		
Support in designing a SWOT analysis of the		
in-service training partners network		
Proposals for improving the in-service training		
partners network		
1.6.4. Further RTCs' support in implementing		
in service training strategy		
Reviewing the training needs analysis		
(identifying new topics at the local		
administration level where there is a lack of		
national expertise)		
Preparing RTC's personnel for the new		
challenges raised by the new European statute		
Train of trainers programmes in the topics		
identified		
Supporting RTCs in developing their		
organizational strategy (including annual		
action plans and budgeting)		
action prairs and budgeting/		

	Technical Assistance Contract					
Preconditions:						
<b>Project 1.5.</b> The institutional and legal framework is put in place before the start of the project in order to assure an efficient integration of the Public Managers within the Public administration system						

Annex 1	Logframe Priorit	ty 2	
LOGFRAME PLANNING MATRIX	LOGFRAME PLANNING MATRIX FOR 2006 SECTOR PROGRAMMING		
	ne of the project MINISTRATION REFORM IN ROMANIA	Contracting period expires 30.11.2008	Disbursement period expires 30.11.2009
Priority 2 - Support for the decentralization / deconcentration process in the Romanian Public Administration		Total budget 6.54	Phare budget 4.90
Overall objective	Relates to Copenhagen criterion and acquis chapter	List of other projects with same objective	
To contribute to the general PAR goal by implementing public administrative reform in the areas of civil service reform, decentralization and de- concentration of public services, and the policy formulation process.	<ul><li>the rule of law, human rights and respect for and protection of minorities (the 'political criteria');</li><li>the ability to take on the obligations of</li></ul>	<ul> <li>Phare</li> <li>Priority 1: Civil Service Reform</li> <li>Priority 3: Public Policy</li> <li>Formulation</li> <li>Non-Phare:</li> <li>Matra program</li> <li>PAL I- Word Bank</li> </ul>	
<ul> <li>Project purpose</li> <li>Strengthening local public administration capacity to manage the decentralized services</li> <li>To be achieved through:</li> <li>Project 2.3: Local public administration capacity to manage decentralized services</li> </ul>	<ul> <li>Objectively verifiable indicators</li> <li>Indicators of Improved local delivery of public services: <ul> <li>better access of citizens to the services providers, timeliness of delivery,</li> <li>quality of service outputs and</li> <li>a closer linkage of services to local needs</li> </ul> </li> </ul>	Sources of Verification Local Authority operational reports Citizen satisfaction surveys	<b>Assumptions</b> Full involvement and commitment of the ministry and local authorities in continuation of the decentralization process

Results	Objectively verifiable indicators	Sources of Verification	Assumptions
<b>Results project 2.3</b> The Grant Scheme for The Local Government Development and Modernisation Fund is implemented. The scheme will permit the financing of projects in key areas for the modernisation of local public administration: public management, human resources, communication, partnerships and participatory processes with citizens.	- Percentage of Local development Fund implemented and disbursed	<ul> <li>Local Government Development and Modernisation Fund activity reports.</li> <li>Grant project reports</li> </ul>	The decentralization legislation is put in place
Activities Project 2.3	Means		Assumptions
<ul> <li>Promotion campaign, selection, implementation, monitoring and evaluation of the projects in key areas of local Public Administration <ul> <li>Eligible activities under the grant contracts:</li> <li>projects to sustain the development of partnership at local level, with a purpose of a more efficient application for the structural funds;</li> <li>projects to sustain the specialised personnel training to be prepared for the decentralized process of local governing, for a better management of the decentralised public services and for a more efficient management of the future</li> </ul> </li> </ul>	<b>GS</b> Maximum amount of one grant will be 110.000 Euro		Implementation of HR reform from priority 1 in time to support the project.

S	structural funds;		
e	projects to ensure the endownment with IT equipment as well as other components, equipments, instruments for ensuring a more efficient activity in the local governing and in the public services at local level;		
S	projects to sustain the elaboration of studies, research, strategies for local development;		
iı a	projects to support the dissemination of nformation concerning the local governing and improvement of public services at ocal level;		

# Log frame Priority 3

# Annex 1

		Programme name and number PHARE 2006/018-147.01.03	
Name of the project       I         Priority 3: "Supporting the public administration reform through the improvement of the public policy formulation process."       I         Overall objective       Relates to Copenhagen criterion       I		Contracting period expires November 2008 Total budget : 4 M€ List of other projects with same objective	Disbursement period expires november 2009 Phare budget <b>4 M€</b>
To contribute to the general PAR goal by implementing public administrative reform in the areas of civil service reform, decentralization and de- concentration of public services, and the policy formulation process	• Related to the political criteria		
<ul> <li>Project purpose</li> <li>Improving the coordination, policy formulation and strategic planning system at central level</li> <li>To be achieved by the following tasks:</li> <li>Project 3.1</li> <li>Developing the public policy</li> </ul>	<ul> <li>Objectively verifiable indicators         <ul> <li>number of public policies substantiated on the new procedures and guidelines on central level</li> <li>number of trained staff from ministries (PPUs, specialized departments) dealing with public policies' elaboration</li> </ul> </li> </ul>	<ul> <li>Sources of Verification <ul> <li>official government reports</li> <li>international institutions reports</li> <li>media news or reports</li> </ul> </li> <li>official reports</li> </ul>	<ul> <li>Assumptions</li> <li>Maintaining the government interest in continuing reforming the public policy system</li> <li>Increased involvement of all ministries in the process of reform</li> </ul>

formulation process at the center of the Government by: IV. disseminating best practices and revising methodologies and procedures according to the assessment results (by end year 2007	<ul> <li>for all ministries for elaborating performance indicators</li> <li>number of documents being</li> </ul>		
V. increasing impact assessment capacities at the line ministries and setting up the methodological tools and guidelines in order to better substantiate public policies (together with line ministries)	• assessment report regarding	<ul> <li>reports on training programs</li> <li>conformity notes given by the PPU form the General Secretariat of the Government</li> <li>reports on training programs</li> <li>official reports</li> </ul>	<ul> <li>Full commitment of the CoG in this reform</li> <li>A necessary openness of different central bodies involved in the policy making process</li> </ul>

VI. strengthening the strategic planning capacities and elaborating performance indicators in 3 pilot ministries based on which methodological framework for all ministries will be further developed (together with line ministries)	<ul> <li>number of training programmes and workshops regarding impact assessment;</li> <li>number of participants;</li> <li>number of high civil servants trained;</li> <li>number of public policies with improved impact assessment elaborated according to the guidelines and methodological tools</li> </ul>	• official reports	• Commitment of the line ministries for applying strategic planning at their level and for developing performance indicators (Special support from the 3 pilot ministries)
<b>project 3.2</b> Increasing the effectiveness of the documents flow between GSG and line ministries by contracting tehnical assistance for designing and implementing a "e-portfolio"- a specialized IT application for managing documents submitted in the Government meeting and Preparatory meetings)	<ul> <li>number of workshops and specialized training for PPU's both at GSG and line ministries for strategic planning and performance indicators;</li> <li>number of participants;</li> <li>performance indicators elaborated within 3 pilot ministries;</li> <li>general methodological framework on elaborating</li> </ul>	• official reports	• Full commitment of the CoG in implementing the system

performance indicators         OVI project 3.2         • number of documents b         submitted to Governme         meeting and Preparatory         meetings through "e-         portofolio"         Results         Objectively verifiable indicators	nt y	Assumptions
Results fulfilling the overall purpose     Objectively vermable indicato.		
<ul> <li>Results Project 3.1</li> <li>Diagnose report</li> <li>Best practices brochures disseminated to line ministries</li> <li>Trained staff on impact assessment- 60 civil servants from PPUs in GSG and line ministries and 30 high civil servants in line ministries</li> <li>OVI Project 3.1</li> <li>Diagnose report</li> <li>Best practices brochures</li> <li>60 civil servants form PPUs GSG and line ministries trai on impact assessment;</li> <li>30 high civil servants in line ministries</li> </ul>	<ul> <li>official reports</li> <li>reports on training programs</li> <li>conformity notes of the PPU</li> </ul>	<ul> <li>Effective support from the CoG</li> <li>The clear recognition of the needs regarding the policy formulation reform</li> <li>Supporting the need for higher qualifications for PPU's stafft from central level</li> <li>A clear understanding of the need for more coordination and clear definitions of responsibilities</li> </ul>

- Handbook on economic assessment
- Handbook on social assessment
- Handbook for strategic planning methodology
- 3 set of performance indicators for each pilot ministry
- Guidelines developed to support the line ministries in designing performance indicators
- Trained staff on strategic planning and performance indicators- 60 civil servants from PPUs in GSG and line ministries and 30 high civil servants in line ministries

## **Results Project 3.2**

A more effective O communication network through developing "e-portfolio"- a specialized IT application managing documents submitted in the Government meetings and

- Handbook on economic assessment;
- Handbook on social assessment;
- number of public policy documents accompanied by impact analysis from a social and economic point of view.
- Handbook for strategic planning methodology
- stakeholders agreement regarding the guidelines for designing performance indicators
- 60 civil servants from PPUs in GSG and line ministries trained on strategic planning
- 30 high civil servants in line ministries trained on strategic planning

## effective **OVI Project 3.2**

- number of documents being submitted to Government meeting and Preparatory
  - meetings through "e-portofolio"
  - number of days for finalizing a normative act project after being

## reports

- official government reports
- reports on training programs
- official reports

between the involved authorities.

- A necessary openness of relevant bodies involved in the policy making process
- A clear understanding of training needs

• Full commitment of the CoG in implementing the system

Pre	paratory meetings	included on the Government meeting agenda	
Activit	ies	Means	Assumptions
Activit	ies Project 3.1	Means Project 3.1	• Approval of the proposed project
I.		I.	
	0 0 0 1	own staff of the beneficiary (PPU) –	
	-	22; ministries' staff – 60; all	
		necessary equipment; foreign experts	
		-3/4 persons for the duration of TA in	
		the field of the above topics.	
	recommending the		
	necessary adjustments		
	Collecting best practices		
	concerning public policy process and elaborating		
	the brochure		
	Organizing a seminar for		
	disseminating the best		
	practices	II.	
	Pression	own staff of the beneficiary (PPU) –	
		22; all necessary equipment;	
TT		Romanian and foreign experts – 3-4	
II.		persons for each year of TA in the	
		field of impact assessment.	
	responsibilities to b		
	exercised by high civ		
	servants within the new		

framework;		
<ul> <li>Delivering specializ training for senior civ servants regarding t impact assessment</li> </ul>	7	
<ul> <li>Workshops and specializ training for PPU's both GSG and line ministries</li> </ul>		
<ul> <li>Elaborating the Handboo on economic assessment</li> </ul>	experts $-3/4$ persons for each year	
<ul> <li>Elaborating the Handbor on social assessment</li> </ul>	of TA in the field of strategic planning and performance indicators.	
III.		
<ul> <li>Delivering specialized training for senior civil servar regarding the strategy planning and performant indicators</li> </ul>	it i	
<ul> <li>Workshops and specializ training for PPU's both GSG and line ministries for strategic planning and performance indicators</li> </ul>	a o	
• Elaborating the Guidelin	e	

<ul><li>indicators</li><li>Elaborating the Handbook</li></ul>	Means Project 3.2 own staff of the beneficiary (PPU) – 22; all necessary equipment; foreign experts – 2/3 persons for each year of TA in the field of "e-portofolio".	
Activities Project 3.2 <ul> <li>Developing "e-portfolio"- specialized IT applicatio managing document submitted in the Government meeting and Preparator meetings</li> </ul>		

## Annex 1d -Sector Monitoring Sheet - 2006 - Public Administration Reform

## **Priority 1 – Project 4**

111011ty 1 – 110jt					1 1
Result	Foreseen	Source of	Informatio	Status	Proposed
	deadline	informatio	n provided		Action by SMC
		n	by		
Project 4 - Dev	velop a corps of pro	fessional pub	lic managers	and of th	e competences
necessary for su	oporting Romania's	s public admi	nistration as a	a Membe	r State of the EU
Tender notice	Phare 06	europa.eu.i	CFCU		
	<sup>1st</sup> quarter 2007	nt			
Shortlist	Phare 06	Shortlist	CFCU		
established	1 <sup>st</sup> quarter 2007				
Tender launched	Phare 06	Tender	CFCU		SMC to review
	2 <sup>nd</sup> quarter 2007	publication			ToR in the light
		1			of new
					developments
Contract signed	Phare 06	Signed	CFCU		
_	3 <sup>rd</sup> quarter 2007	contract			
Project	Phare 06	Project	PIU		
Project implementation	4 <sup>th</sup> quarter 2008	reports			

## **Priority 1 – Project 5**

Result	Foreseen	Source of	Informatio	Status	Proposed
	deadline	informatio	n provided		Action by SMC
		n	by		
Project 5: Adapti	ing Young Profess	ional Scheme	to the needs	of public	administration
		reform			
Tender notice	Phare 06 <sup>1st</sup> quarter 2007	europa.eu.i nt	CFCU		
Shortlist established	Phare 06 1 <sup>st</sup> quarter 2007	Shortlist	CFCU		
Tender launched	Phare 06 2 <sup>nd</sup> quarter 2007	Tender publication	CFCU		SMC to review ToR in the light of new developments
Contract signed	Phare 06 3 <sup>rd</sup> quarter 2007	Signed contract	CFCU		
Project implementation	Phare 06 4 <sup>th</sup> quarter 2008	Project reports	PIU		

## **Priority 1 – Project 6**

Result	Foreseen deadline	Source of information	Information provided by	Status	Proposed Action by SMC
Project 6 -	Further NIA and 1	RTCs support in	facing the challe	nges raise	d by the new

		European sta	atute	
Tender notice	Phare 06 <sup>2nd</sup> quarter 2007	europa.eu.int	CFCU	
Tender launched	Phare 06 <sup>2nd</sup> quarter 2007	Tender publication	CFCU	
Shortlist established	Phare 06 3 <sup>rd</sup> quarter 2007	Shortlist	CFCU	SMC to review ToR in the light of new developments
Contract signed	Phare 06 4 <sup>th</sup> quarter 2007	Signed contract	CFCU	
Project implementation	Phare 06 4 <sup>th</sup> quarter 2008	Project reports	PIU	

## **Priority 2- Project 3\***

Result	Foreseen deadline	Source of information	Information provided by	Status	Proposed Action by SMC
Project 3 – Stre	ngthening the loca	al public adminis	stration capacity	y to mana	ge the
decentralized se	ervices - Grant Scl	heme			
Tender notice (guidelines for applicants issued and approved)	Phare 06 <sup>2nd</sup> quarter 2006	europa.eu.int	CFCU		With suspension clause
Promotion of the fund	Phare 06 <sup>4th</sup> quarter 2006	visits in the country	CFCU		
Contracts signed	Phare 06 4 <sup>th</sup> quarter 2006	Signed contracts	CFCU		
Project implementation	Phare 06 4 <sup>th</sup> quarter 2007	Project reports	PIU		

\* The Grants scheme will be launched in the third quarter of 2006 with promotion campaign (PIU), the signing of the contracts in the  $4^{th}$  quarter of 2006 (CFCU), project implementation  $4^{th}$  quarter of 2008 (PIU)

## **Priority 3- Project 1**

Result	Foreseen deadline	Source of information	Information provided by	Status	Proposed Action by SMC
		Project 3	.1		
Tender notice	Phare 06 <sup>1st</sup> quarter 2007	europa.eu.int	CFCU		
Tender launched	Phare 06 <sup>2nd</sup> quarter 2007	Tender publication	CFCU		
Shortlist established	Phare 06 3 <sup>rd</sup> quarter 2007	Shortlist	CFCU		SMC to review ToR in the light of new

				developments
Contract	Phare 06	Signed	CFCU	
signed	3rd quarter 2007	contract		
Project implementation	Phare 06 4 <sup>th</sup> quarter 2007	Project reports	PIU	

# Priority 3- Project 2

Result	Foreseen deadline	Source of information	Information provided by	Status	Proposed Action by SMC
		Project 3.	2		
Tender notice	Phare 06 <sup>1st</sup> quarter 2007	europa.eu.int	CFCU		
Tender launched	Phare 06 <sup>2nd</sup> quarter 2007	Tender publication	CFCU		
Shortlist established	Phare 06 3 <sup>rd</sup> quarter 2007	Shortlist	CFCU		SMC to review ToR in the light of new developments
Contract signed	Phare 06 1st quarter 2007	Signed contract	CFCU		
Project implementation	Phare 06 1st quarter 2008	Project reports	PIU		

# **Detailed Time Implementation Chart for Projects**

			2	000	6								20	07											20	800	3						2009												
calendar months	J	A	S	0	N	I	)	J	F	Μ	A	Μ	J	J	A	S	0	N	D	J	F	Μ	[ <b>A</b>	M	IJ	J	A	4 S	5	0	N	D	J	F	Μ	A	N	1 J	J	A	S	0	, [	NI	)
Priority 1																																											+	_	
Project 4	D	D	D	D	Ľ	) I	D	D	D	С	С	С	С	С	С	С	Ι	Ι	Ι	I	Ι	Ι	I	I	Ι	I		ΙI		I	I	Ι	Ι										1	T	
Project 5	D	D	D	D	D	) I	D	D	D	С	С	С	С	С	С	С	Ι	Ι	Ι	I	Ι	Ι	I	I	Ι	I		ΙI		I	ſ	I	Ι										1	T	
Project 6	D	D	D	D	Ľ	) I	D	D	D	С	С	С	С	С	С	С	Ι	Ι	Ι	I	Ι	Ι	Ι	I	Ι	I		ΙI		I	ſ	I	I											T	
Priority 2	1															1																													
Project 3	D	D	D	D	D	) (	С	I	I	I	I	I	I	Ι	Ι	I	I	Ι	Ι																										
Priority 3																																													
Project 3.1	D	D	D	D	D	) I	D	D	D	С	С	С	С	С	С	С	I	Ι	Ι	I	Ι	Ι	I	I	Ι	I	I	I																	
Project 3.2	D	D	D	D	Ľ	) I	D	D	D	С	С	С	С	С	С	С	С	С	С	С	I	Ι	I	I	I	I	I	Ι		I	I	I	I										T		
	С	= I = ( = I	Co	ntr	act			on	1	1		1						_														1	8												

#### CUMULATIVE CONTRACTING AND DISBURSEMENT SCHEDULE

#### CUMULATIVE CONTRACTING AND DISBURSEMENT SCHEDULE DATE: 31/01/07 30/04/07 30/07/07 31/10/07 31/01/08 30/05/08 **Priority 1- Project 4** (TA) CONTRACTED 1.00 1.00 1.00 1.00 1.00 1.00 DISBURSEMENT 0.00 0.20 0.40 0.60 0.80 1.00 **Priority 1- Project 5** (TA) CONTRACTED 3.10 3.10 3.10 3.10 3.10 3.10 DISBURSEMENT 0.75 1.25 1.70 2.20 2.80 3.10 Priority 1- Project 6 (TA) 1.00 1.00 1.00 1.00 1.00 1.00 CONTRACTED DISBURSEMENT 0.00 0.20 1.00 0.40 0.60 0.80 **Priority 2- Project 3** (GS) CONTRACTED 4.90 4.90 4.90 4.90 4.90 DISBURSEMENT 3.90 3.90 3.90 3.90 4.90

CUMULATIVE CONT DATE:	<b>RACTING</b> A	AND DISBU	RSEMENT	SCHEDU	LE			
DAIL.	30/11/07	28/02/08	30/05/08	31/08/08	30/11/08	28/02/09		
<b>Priority 3- Project 1</b> (TA)								
CONTRACTED	2.50	2.50	2.50	2.50	2.50			
DISBURSEMENT	1.25	1.50	2.25	2.25	2.50			
<b>Priority 3-</b> Project 2, <b>Component 4</b> (TA)								
CONTRACTED	1.50	1.50	1.50	1.50	1.50	1.50		
DISBURSEMENT	0.50	0.75	1.00	1.00	1.35	1.50		

For all projects: reference list of feasibility/pre-feasibility studies

N/A

Reference list of relevant laws and regulations:

### Laws and regulations regarding the civil service:

Law 188/1999 republished, on the Statute of Civil Servants;

Law no. 161/19.04.2003 on the necessary measures in order to assure the transparency in the exercise of public dignities, civil service and in the economic environment, as well as the prevention and sanction of corruption; Title III "Regulations regarding public function and civil servants"

Government Decision No. 730/03.07.2003 regarding reassignment of the civil servants in a position according to the category of senior civil servants;

Government Decision No. 624/29.05.2003 on approving the Regulations for organization and functioning of the National Agency of the Civil Service, modified and completed by the Government Decision no 181/2005;

Government Decision No.710/2002 concerning functioning of the National Institute of Administration;

Government Decision no. 1209/14 October 2003 concerning the organization and development of civil servants' career;

Government Decision no 1210/2003 on the organizing and functioning of the disciplinary and parity committees

Law no. 575/22 December 2003, which approve the Government Ordinance no. 74/28 August 2003 concerning the modification and completion of the Government Ordinance no. 81/2001 with regard to the creation and organization of NIA;

G.D. No. 615/2003 on the approval of the Regulation regarding the organization of the national entrance contest to the specialized training programs for the public administration, amended by G.D. no. 932/2003

Law no 4/2004 on the Code of conduct for civil servants

Government Decision no 452/2004 regarding the professional record of civil servants

Order of the President of NACS no 2753/2004 on the approval of the Methodology for elaborating the manpower plan

Order no.134/2002 of the Minister of Public Administration for the setting up of the CUPAR unit.

Government Decision no. 699/2004 regarding the updated strategy of the Romania concerning the acceleration of the public administration reform.

Law no. 156/2005 concerning the clarification of the statute of the public manager and the improvement of the legal and institutional framework foreseen in the Government Ordinance no. 56/ 2004.

Memorandum No.8092/25th from April 2005 regarding the evaluation and approval the Updated Strategy concerning the acceleration of the public administration reform in Romania

Government Decision 775/2005 regarding the new procedures for elaborating, implementing and monitoring public policies

Government Decision 750/2005 regarding the reform of the cross-governmental bodies.

## Annex 4 Reference list of relevant strategic plans and studies:

Updated strategy of the Romanian Government concerning the acceleration of the public administration reform - 2004 Government Programme – December 2004 In-service training strategy of Romanian civil servants NIA's development strategy.

## Lessons learnt for Phare 2006

# Priority 1-Support the Civil Service Reform

Identified Gaps or Recommended courses of intervention	<i>y</i> 8 1 1	Program	ce)	
		2004	2005	2006
Task* 4(TA)				
should continue and its	TA assistance contracts that are to be signed as part of the 2004, 2005, 2006 PHARE framework (compared with PHARE 2001)	PHARE 2004	PHARE 2005	PHARE 2006
1 7	Strengthening NIA's capacity in delivering short term training courses			

<sup>\*</sup> the no. and the name of the tasks are the same as those included in the Multi-Annual Programming 2004-2008.

Phare TA should include short term training courses <sup>11</sup> .	Strengthening NIA's capacity in launching and implementing tailor made courses	PHARE 2004: 4		PHARE 2006: 4
Specific and priority support is needed to develop tailor- made courses and, in general, to develop a demand-driven but proactive approach <sup>12</sup> .	Supporting INA and RTCs in implementing the in- service training strategy	PHARE 2004: 4		PHARE 2006: 4
• The National Institute of Administration needs further strengthening <sup>13</sup>				
		PHARE 2004	PHARE 2005	PHARE 2006
Task 5 (TA)				
• Delays to contracting the YPS will pressurize the selection and placement process and inhibit the achievement of optimal results unless the activities are rescheduled <sup>14</sup>	• The PHARE 2003 project (YPS 2) was contracted on the 9 <sup>th</sup> of August 2005, and based on a common agreement with main beneficiaries (NAI, CUPAR, NACS) and ECD the design of the project was changed in order to achieve best results. The scheduled Timeline for the 3 <sup>rd</sup> YPS cycle drafted in the Sector Fiche 2005 in order to achieve maximum synergy and		• Phare 2005 Programming foresees the project (YPS 3) to be contracted starting with September 2006 (see Programme	

 <sup>&</sup>lt;sup>11</sup> SIGMA report, February 2004
 <sup>12</sup> SIGMA report, February 2004
 <sup>13</sup> Romania - 2005 Comprehensive Monitoring Report
 <sup>14</sup> ECOTEC Interim Evaluation of the European Union Pre-Accession Instrument Phare, 11st July 2005
 <sup>15</sup> SIGMA, Mid Term Evaluation Report on PHARE RO 01.06.03, March 2004

• The special statute creating the fast stream corps of civil servants based on the YPS is adopted as planned <sup>15</sup>	<ul> <li>avoid the delays</li> <li>The legal framework regarding YPS has been adopted. In this respect, two Emergency Ordinances were adopted No. 56/2004 and No.6/2005 which regulates the Special Statute of the Civil Servants called Public Managers. Also, the Methodological Norms for applying the above documents were approved and put in place.</li> </ul>	Fiche 2005 amendment for PAR sector)	
• The redesigned project should include outputs and activities specifically aimed at developing the capacity of the NIA to assume responsibility for managing the scholarship programme, the internship programme and the training aspects of the YPS <sup>16</sup>	• This aspect was taken into account in the PHARE project RO 2003/005-551.03.01 and NIA's role within was defined in this respect	PHARE 2005 1:5	PHARE 2006
• Inadequate capacity on the part of the NIA and the NACS to absorb the Scheme and consequent uncertainty as to the long term location of the Scheme <sup>7</sup>	Under PHARE 2003, the capacity of these two institutions will be strengthened	PHARE 2005 1:5	

<sup>16</sup> SIGMA, Mid Term Evaluation Report on PHARE RO 01.06.03, March 2004
 <sup>17</sup> Romania - 2005 Comprehensive Monitoring Report

• The project should produce, as an output, a fully developed design of all aspects of the YPS from the initial selection of participants through to their eventual accession to senior civil service positions <sup>7</sup>	• The new design of the project will be changed and addressed to the public administration Reform needs.	PHARE 2005 1:5	PHARE 2006 :5
• The Scheme is unlikely to reach sufficient mass in the pre-accesion period to contribute significantly to the Accession Partnership. The focus should shift to maximizing its impact in the post-accession phase and on the wider public administration reform effort. <sup>7</sup>	• To reach a sufficient mass of Public Managers who could have an impact consecutive cycle need to be developed. An impact evaluation will take place in March, run by an independent body.		PHARE 2006 :5
• In February 2005, the Government adopted an Emergency Ordinance regarding the creation of a civil servant with a special statute, the public manager who is expected to provide support as regard reform policies <sup>17</sup>	• The legislation will be readdressed based on a Policy Paper and the placement of the Public mangers will be done based on a demand-lead orientation. The legal and institutional framework will be amended under the PHARE 2003.Task Force meetings took place in order to develop these amendments.		
• No progress can be	• Through the PHARE project RO 01.06.04"Creating the mechanisms for the		

( <b>1</b>			
noted as concerns career	implementation of the Civil Servants Statute		
structure, promotion and	Law" the main outputs have been achieved:		
assessment procedures:	the competencies frameworks for general and		
while progress, guides	specific civil servants positions (within the		
and legislation do exist,	law and accountancy fields);		
there is still a lack of	• A survey was conducted: Instruments for		
clarity and transparency	Career Development within the Public		
regarding safeguards for	Administrations from the Member States-a		
reducing the	comparative approach regarding the		
discretionary nature of	candidate countries"		
the entire process and it	• A survey was conducted based on		
remains an area of	attendance of 50 persons" Report regarding		
concern <sup>8</sup>	the non-financial motivation"		
	• Two guides were issued: The career within		
	the civil service" and Guide regarding the		
	Evaluation of the Professional Individual		
	Performance and Motivation of the Civil		
	Servants		
	• The Guidebooks were multiplied, training		
	seminars for 200 civil servants.		
	• The job analysis concept was introduced.		
	Training sessions regarding the job analysis		
	were organized.		
	• Also, the following legislation was		
	elaborated: - Order no.4094/2005 regarding		
	the approval of the performance criteria		
	besed on which the individual professional		
	performance evaluation of the civil		
	servants is accomplised on 2005;		
	• The Order no.1012/2005 regarding the		
	approval of the Regulation Framework for		
	organisation the promotion exam of the		
	civil servants, employed on public		
	positions with an inferior study level, who		
	-		
	graduate long term duration studies within		

Task 6 (TA)	<ul> <li>the specialisation where they develop their activity;</li> <li>The Order no.206/2005 for approval the Assessment Methodology and the Job Assessment Criteria;</li> <li>The draft of ammending the Law no.188/1999 regarding the Statute of the civil servants, which include subsatantial ammendments was issued and sent for approval to the Parliamant;</li> </ul>			
• TA on marketing and communication would be useful <sup>18</sup>	Building a positive image of NIA within its beneficiaries and partners	PHARE 2004: 6	PHARE 2005: 6	PHARE 2006: 6
• RTCs are important enough to receive specific Phare support <sup>19</sup>	RTCs are the beneficiaries of the TA contracts	PHARE 2004: 6	PHARE 2005: 6	PHARE 2006: 6
• Basic technical assistance for all RTCs could be channelled through INA, contributing to the reinforcement of INA- RTCs relations <sup>20</sup>	Strengthening NIA and RTCs training capacity (one TA contract having as beneficiaries NIA and RTCs)	PHARE 2004: 6	PHARE 2005: 6	PHARE 2006: 6
• There are not enough training materials specifically prepared for	Improving the quality standard for the NIA's training programs			

<sup>&</sup>lt;sup>18</sup> SIGMA report, February 2004
<sup>19</sup> SIGMA report, February 2004
<sup>20</sup> SIGMA report, February 2004
<sup>21</sup> SIGMA report, February 2004
<sup>22</sup> Romania - 2005 Comprehensive Monitoring Report
<sup>23</sup> Romania - 2005 Comprehensive Monitoring Report

Romanian PA. It is recommended: a) To		PHARE 2004: 6		PHARE 2006: 6
prepare, or translate and				
adapt training materials,				
principally handbooks and				
cases, for the most widely				
needed and important				
issues; b) the Trainers				
Common Database should				
also include some high				
quality training material <sup>21</sup>				
	Suggesting INA and DTCs in implementing the			
• The Institute attempts to	Supporting INA and RTCs in implementing the in-service training strategy			
coordinate its training activities for civil servants	III-service training strategy			
with with a number of				
other bodiesHowever,	Further INA's support in facing the challenges	PHARE 2004	PHARE 2005:6	PHARE 2006:6
there is, in practice, little	raised by Romania's new European statute	PHAKE 2004	PHAKE 2005:0	PHAKE 2000:0
linkage, planning and				
coordination between				
training providers				
(institutions or consultants				
working under donor		PHARE 2004	PHARE 2005:6	PHARE 2006:6
programmes) and				
Romanian beneficiaries,				
leading on occasion to	Further INA's support in facing the challenges			
duplication or overlap of	raised by Romania's new European statute			
activities. <sup>22</sup>				
	Further INA's and RCT's support in facing the			
• The National Institute of	challenges raised by Romania's new European			
Administration needs	statute			
further strengthening <sup>23</sup>		PHARE 2004	PHARE 2005	PHARE 2006
		1 IIIII 2007	1 1111112 2003	1 11111L 2000

<sup>24</sup> ECOTEC report, January 2006

• At the time of		
evaluation, even in		
advance of programme		PHARE 2006
implementation, there		
are some concerns		
relating to the		
relationship between		
NIA and the eight		
RTC's with respect to		
co-ordination of		
activities and attribution		
of responsibilities. This		
threatens to reduce both		
the efficiency and		
effectiveness of support		
to be provided through		
the Phare 2004		
programme, as well as		
the sustainability of any		
initiatives that might be		
introduced with TA		
support. <sup>24</sup>		

## Priority 2-Support for the decentralization/de-concentration process in the Romanian public administration

Identified Gaps or Recommended courses of intervention	Action for covering the Gap or implement the recommended intervention	Programming (Project Reference)		
		2004	2005	2006
Task3 (GS)				
The Romanian authorities have made considerable efforts to develop a strategy for managing the process of decentralization in a transparent and stable manner. However, the proposed reforms are still at the design stage and <b>implementation should be</b> <b>ensured</b> <sup>25</sup>	The transfer of responsibilities to local authorities implies, mainly, increased administrative capacity to manage public services (GS)			Phare 2006, priority 2, task 3: "Strengthening local public administration capacity to manage the decentralized services" — Local government development & modernization fund
"Most local authorities suffer from a limited administrative capacityMajor efforts are still neededto remedy	Enabling the local authorities to access funds for their capacity development, on a competitive basis. (GS)			Phare 2006, priority 2, task 3: "Strengthening local public administration capacity to manage the decentralized services" - Local government development &

<sup>&</sup>lt;sup>25</sup> 2004 Regular Report on Romania's progress towards accession

shortages of information- technology equipment and skills"			modernization fund
() there is still a lack of clarity as concerns the allocation of responsibilities and financial resources between the various levels of government.() The process of transferring powers to lower levels is far from finalized: the competencies of different levels of government have not been adequately clarified and have not been matched with corresponding transfers of property and fiscal resources or, at local level, decision-making rights. Local financial autonomy is limited by local government's inadequate capacity to generate its own revenues <sup>26</sup>	The transfer of responsibilities to local authorities implies, mainly, increased administrative capacity to manage public services. (GS). Enabling the local authorities to access funds for their capacity development, on a competitive basis. (GS)		Phare 2006, priority 2, task 3: "Strengthening local public administration capacity to manage the decentralized services" – Local government development & modernization fund

# Priority 3-Central government reform-improvement of the policy formulation process

Identified Gaps	or	Action for covering the	Gap	Programming (Project Reference)
Recommended course	s of	pr implement	the	

<sup>&</sup>lt;sup>26</sup> Comprehensive Monitoring Report 2005

intervention	recommended intervention			
		2004	2005	2006
<ul> <li>Most of the administrative changes made so far have been driven by external factors (financial requirements, etc.). Internally generated reforms to promote more administrative efficiency have lacked consistency. The internal capacity to analyze, develop and to implement new policies and to enforce new laws has been inadequate;</li> </ul>	<ul> <li>system regarding the design of public policies through the adoption of Government Decision 775/2005 on standard procedures for policy-making, alongside with the creation of Public Policy Units in each line ministry starting with 1<sup>st</sup> of January 2006<sup>27</sup></li> <li>The establishing of Public Policy Unit (PPU) as a directorate of the GSG, alongside with supplementing the organizational scheme<sup>28</sup>.</li> <li>Organizing a seminar on standard procedures for policy elaboration at central level with the representatives of the ministries in March 2005<sup>29</sup></li> </ul>	PHARERO2003/005-551.03.03,RO2003PHAREOT10"StrengtheningtheRomanianGovernmentcapacityforpolicymanagementandcoordinationandfordecision making"Component 2. Capacitybuilding of Centre ofGovernment.Component3.Improvement of legal basesof policy planning.Component4.Improvepolicy planning capacitiesin the line ministries.		
• A limited degree of efficiency for the developing/	• This issue is not relevant for PHARE assistance			

 <sup>&</sup>lt;sup>27</sup> World Bank's PAL 2 Programme PPIBL financed technical assistance
 <sup>28</sup> Financed from the state budget
 <sup>29</sup> Financed from DFID

\_\_\_\_

<ul> <li>organization of government meetings;</li> <li>Limited capacity of the General Secretary of the Government to achieve a political coordination and a qualitative monitoring of the process of government policy</li> </ul>	institutional capacity at the central level (General Secretariat of the Government and Prime- Minister Chancellery) regarding the instruments	PHARE RO 2003/005- 551.03.03, RO 2003 PHARE OT 10 "Strengthening the Romanian Government capacity for policy management and	TA(PPU)
government policy implementation;	for formulation, monitoring and evaluation of the public policies (cost- benefit analysis, impact analysis)	coordinationandfordecision making"Component 2. Capacitybuilding of Centre ofGovernment.Component4. Improvepolicyplanningcapacitiesin the lineministries.	
• Limited technical substantiation of the decision's proposals regarding the public policies sent for Government approval (generally there is a budget estimate but there are no studies for social and economical impact);	<ul> <li>Impact analysis related to the public policy implementation process;</li> <li>Contracting an individual consultant regarding strategic planning activities and linking national policy to the budget (Public Expenditure Management)<sup>30</sup></li> </ul>	PHARERO2003/005-551.03.03,RO2003PHAREOT10"StrengtheningtheRomanianGovernmentcapacityforpolicymanagementandcoordinationandfordecision making"Component 1. CompetencedefinitionofCentreGovernmentComponent 2. Capacity	

<sup>&</sup>lt;sup>30</sup> Technical assistance financed under the Dutch Grant (contracting phase)

• Lack of transparency within the process of public policies formulation and implementation;	<ul> <li>Testing the effects of public policies within a pilot phase;</li> <li>Testing the standard procedures for policy-making in a pilot phase in two ministries.</li> <li>Instituting a consultation system between stakeholders in elaboration phase of the public policies through GD 775/2005.</li> </ul>	building of Centre of Government. Component 4. Improve policy planning capacities in the line ministries. PHARE RO 2003/005- 551.03.03, RO 2003 PHARE OT 10 "Strengthening the Romanian Government capacity for policy management and coordination and for decision making" Component 1. Competence definition of Centre of Government	
• For Policy development, a primary responsibility of the Government, a subordinate role has been accorded and is not supported by technical analysis. Also, coordinating mechanisms are poorly developed, failing to provide proper coherence to the decisional process	<ul> <li>Training on approaches and procedures regarding the measurement of the public policies effects;</li> <li>Specialized training for the key actors involved within the policy formulation process.</li> <li>Organizing a high-level seminar on the adoption of standard procedures for policy-making with the participation of political officials from the</li> </ul>	PHARERO2003/005-551.03.03,RO2003PHAREOT10"StrengtheningtheRomanianGovernmentcapacityforpolicymanagementandcoordinationandfordecision making"Component 2. Capacitybuilding of Centre ofGovernment.Component3.Improvement of legal bases	

<sup>&</sup>lt;sup>31</sup> Financed from the state budget <sup>32</sup> Financed from the state budget

	<ul> <li>ministries and EU and WB top officials in July 2005 <sup>31</sup>.</li> <li>Training sessions with more than 100 representatives of line ministries on the new public policy procedures during June and July 2005</li> <li>Extensive reforming process of the existing inter-ministerial bodies, following mainly the interministerial communication and coordination efficiency. The reform was implemented through Government Decision 750/2005 <sup>32</sup></li> </ul>	of policy planning. Component 4. Improve policy planning capacities in the line ministries.	
• The division of labour between the various players (Chancellery of the Prime Minister, General Secretariat of the Government, Public Policy Unit) responsible for policy co-ordination continues to be unclear, despite attempts to establish which body does what	<ul> <li>The set up of a working group including representatives from the Chancellery and from the GSG for elaborating the instutional analysis and establishing the roles and responsabilities between the two bodies within the policy co-ordination process</li> <li>Approval of the institutional analysis report at political level</li> </ul>	PHARERO2003/005-551.03.03,RO2003PHAREOT10"StrengtheningtheRomanianGovernmentcapacityforpolicymanagementandcoordinationandfordecision making"Component 1.CompetencedefinitionofCentreofGovernment	

<sup>1</sup> World Bank's PAL 2 Programme PPIBL financed technical assistance-,,Strengthening capacity of the Government for public policy formulation at central level'' <sup>2</sup> Financed from the state budget <sup>3</sup> Financed from DFID <sup>4</sup> Technical assistance financed under the Dutch Grant (contracting phase)