

ANNEX 1 - Phare ESC Logframe Matrix for Part 1 - Improving infrastructure, improving access to education and training, SME support and environmental protection - Implementing Agency: Ministry of European Integration

LOGFRAME PLANNING MATRIX FOR:			Programme name: and number:	ESC RO
PRIORITY A: IMPROVING REGIONAL INFRASTRUCTURE TO SUPPORT ECONOMIC DEVELOPMENT			Contracting period expires: 30.11.2008	Contract execution period expires: 30.11.2009 30.11.2010 for regional infrastructure and related site supervision
<i>Project Number</i>			Total Budget:(MEuro) Part 1: 248.72 Prio.A: 92.80	Phare contribution: (MEuro) Part 1 : 142.85 Prio.A : 69.60
Overall Objective(s)	Relates to Copenhagen criterion and Acquis chapter	List of other projects with the same objective		
<ul style="list-style-type: none"> • To develop and implement multi-annual policies and programmes for economic and social cohesion, to support the overall national and regional economic growth, in order to increase the overall potential of the country and of each of the eight development regions, as well as to diminish the economic and social disparities between them; • To strengthen the institutional capacity of central ministries, the 8 Regional Development Agencies and relevant local authorities to prepare for the implementation of investment support to be provided, in line with provisions regarding the Extended Decentralised Implementation Systems (EDIS) in candidate countries. • To build the institutional, administrative, programming and implementation structures necessary to effectively manage EU Structural Funds after accession. 	<p><i>Chapter 21 - Regional policy and co-ordination of Structural Instruments</i> To strengthen the institutional capacity of the managing authorities and of the intermediate bodies.</p> <p><i>A. 2004 Regular Report on Romania towards accession</i> B. The development of a high quality project pipeline needs to be given priority.</p> <p><i>2005 Romania - Comprehensive Monitoring Report</i> Increased efforts are required (...) to prepare for the implementation of the <i>Structural and Cohesion Funds</i>, including timely accreditation for Extended Decentralisation (EDIS) in the context of the pre-accession funds. The legislative framework is not yet complete and work on preparing the pipeline, with adequate co-financing mechanisms, needs to continue.</p>	<p>Phare ESC 2000 Large scale regional infrastructure</p> <p>Phare ESC 2001: Large scale regional business infrastructure Small scale infrastructure</p> <p>Phare ESC 2002, 2003 Small and Medium Towns Infrastructure Development (SAMTID)</p> <p>Phare ESC 2002, 2003, 2004, 2005 Large scale business infrastructure</p>		

Project Purpose	Objectively verifiable indicators	Sources of verification	Assumptions and Risks
Sub-Project 1: a. Regional and local transport and business infrastructure To rehabilitate and develop local and regional infrastructure related to tourism, transport, industrial areas and business development, with the main tasks: <ul style="list-style-type: none"> • To rehabilitate and develop tourism related infrastructure including leisure facilities and historical/ cultural infrastructure and to provide transport links to such facilities as well as to increase the attractiveness of the areas with natural SPA potential; • To improve and rehabilitate the regional transportation to include ring roads, county roads, as well as road safety measures; • To rehabilitate and improve the industrial areas including environmental clean-up and upgrading of such areas and to provide transport links to such facilities; • To develop the business infrastructure and related access roads in order to improve access to markets, information, services and technologies in order to improve business competitiveness. 	<ul style="list-style-type: none"> • Tourism development increase • Improved transport network • Land improvement/ development/ business premises development • Environmental improvement • Increased SMEs birth rate / average life time • Increased inward flow of foreign capital 	<ul style="list-style-type: none"> • National Statistics • Programme evaluation by the European Commission 	<ul style="list-style-type: none"> • Political and macroeconomic stability • Continuing fulfilment of the conditions for receiving EU grant assistance • Development of national policy for economic and social cohesion according to EU's policy in this field • Favourable economic growth conditions exist or at least no sharp economic downturn occurs so that the number of sustainable jobs created is maximized • Continuing commitment of national and regional authorities to support regional development policy • Continued Government commitment to restructure the economy and improve conditions for private sector development
Sub-Project 1: b. Supervision of regional and local transport and business infrastructure To ensure adequate supervision of the works contracts, in order to secure the implementation of the contracts within the timeframe and budgetary allocation, by providing project management support, construction supervision of the works, as well as technical support to the PIUs and the RDAs teams.	<ul style="list-style-type: none"> • Monitoring and control procedures developed and site-visits undertaken • Creation of appropriate and effective implementation, evaluation and control systems for infrastructure projects 	<ul style="list-style-type: none"> • Site supervision reports • Progress reports to Steering Committee, SMSC, JMC • Programme evaluation by the European Commission 	
Sub-Project 2: Project Preparation Facility (PPF) To support the preparation of large-scale infrastructure projects to be financed under ROP 2007 - 2013	<ul style="list-style-type: none"> • Increased absorption capacity of ROP 2007-2013. 	<ul style="list-style-type: none"> • Progress reports to Steering Committee, SMSC, JMC • Programme evaluation by EC 	
Results	Objectively verifiable indicators	Sources of verification	Assumptions and Risks
Sub-Project 1 a.Regional and local transport and business	All indicators are for 2006 programming period:		

<p>infrastructure</p> <ul style="list-style-type: none"> • Improved touristic infrastructure; • Increased attractiveness of touristic sites <ul style="list-style-type: none"> • Improved accessibility • Reduced traffic in city centers <ul style="list-style-type: none"> • SMEs attracted in these premises • Number of business centres/incubators and expositional centres created • Square meters of business premises created and/or refurbished 	<ul style="list-style-type: none"> ▪ 9 tourism sites created or refurbished ▪ Annual number of tourist visitors to assisted tourism attractions increased by at least 2500; ▪ Annual number of tourist visitors from abroad to assisted tourism attractions increased by at least 800; ▪ New jobs in the tourism sector at least 100. <ul style="list-style-type: none"> • 5 ring-road and by-pass projects; • at least 60 Km of roads developed / improved (applies to ring road, by-pass and county road projects); ▪ Number of standard vehicle movements daily increase with 15-20% on the modernised roads; ▪ Volume of goods transported increase with 15-20% ▪ Car traffic in city centres = reduction with 10-20% in cities where a ring road was built; <ul style="list-style-type: none"> ▪ 3 business premises created or modernised; ▪ Square meters of business premises created, at least 11,500 m² (if 50% of the money available would be used for premises created); ▪ Square meters of business premises refurbished at least 18,000 m² (if 50% of the money available would be used for premises refurbished) ▪ Number of companies assisted and receiving business support, at least 15; ▪ Sqm of business space occupied after 1 year from project completion = min. 50% of the total space ▪ Number of gross jobs created, at least 120 new jobs; 	<ul style="list-style-type: none"> • Regional statistics • Sources of the Local Beneficiaries • Studies performed by the relevant institutions in the respective field • Reports from the Chambers of Commerce 	<ul style="list-style-type: none"> • Regional development authorities support the projects • Commitment of the beneficiaries to the project; • Co-financing available; • Effective implementation of the infrastructure projects
<p>Sub-Project 1 b. Supervision of regional and local transport and business infrastructure</p> <ul style="list-style-type: none"> • Works contracts finalised in time • Works contracts implemented within the contracted budget • Quality level of the works performed, in accordance with the standards and specifications • Increased knowledge and understanding of the Local 	<ul style="list-style-type: none"> ▪ number of finalised contracts versus concluded contracts = 1 ▪ number of projects finalised within the time for completion versus concluded contracts = 1 ▪ ratio of contracted / spent funds = 100% ▪ value of defects notified = less than 5% of the 	<ul style="list-style-type: none"> • Monitoring data supplied by Local Beneficiaries / PIUs and RDAs to MEI • Site supervision reports 	<ul style="list-style-type: none"> • There will be no delays in the contracting and commencement of this TA project • There will be no changes in the institutional environment that will affect the proper implementation and performance of this project

Beneficiaries and RDAs about the mechanisms and procedures used in the implementation and monitoring of the works contracts	contract value / project ▪ rate of completion of activities on schedule = min. 75 %	<ul style="list-style-type: none"> Progress reports 	<ul style="list-style-type: none"> Failure to award Works Contracts Well designed projects by PPF Cultural misunderstandings between the Romanian and international staff of the different parties involved.
Sub-Project 2: Project Preparation Facility <ul style="list-style-type: none"> Pipeline ready for contracting under ROP, starting with 2007 People trained in infrastructure projects preparation 	<ul style="list-style-type: none"> Pipeline of infrastructure projects prepared and ready for contracting exist. Infrastructure projects prepared, with a total value of around 140 Meuro. Trained local authorities and RDAs staff 	<ul style="list-style-type: none"> Training evaluation reports Project reports / evaluation Project Implementation reports Programme Management / Monitoring Committee minutes 	<ul style="list-style-type: none"> Pipeline is approved by NBRD

Activities	Means		Assumptions and Risks
Sub-Project 1 a. Regional and local transport and business infrastructure <ul style="list-style-type: none"> A. Approval of the Guidelines for Applicants B. Launching of open call for proposals C. Pre-Selection at regional level D. Selection at national level E. Preparation of Tender Documents with TA support Steps A to E are undertaken under Phare 2004&2005 ESC programme; 2006 will concentrate on: <ul style="list-style-type: none"> F. Tendering and contracting the works G. Implementation and monitoring of the works contracts H. Evaluation of the achievements 	<ul style="list-style-type: none"> Works contracts for infrastructure projects – 53.10 Meuro 		<ul style="list-style-type: none"> Maturity, quality and consistency of the applications and subsequent documentation submitted. Local co-financing of minimum 10% of the total eligible value is ensured Local beneficiaries are committed and take active role in project implementation National co-financing available
Sub-Project 1 b. Supervision of regional and local transport and business infrastructure <ul style="list-style-type: none"> A. Preparation of the Terms of Reference B. Tendering and contracting the Technical Assistance C. Implementation and monitoring of the Supervision Contract D. Transfer of know-how to RDAs and local authorities E. Evaluation of the achievements 	<ul style="list-style-type: none"> One or more service contracts for site supervision – 9.00 Meuro 		<ul style="list-style-type: none"> PPF is preparing tender dossier in time, and the project design is qualitative TA for Supervision is contracted in due time

Sub-Project 2: Project Preparation Facility A. Preparation of the Terms of Reference B. Tendering and contracting the Technical Assistance C. Implementation and monitoring of the Contract <ul style="list-style-type: none"> - preparation of large infrastructure projects (detailed design, tender documents) to be financed under 2007-2013 ROP - training local authorities and RDAs in preparation of large infrastructure projects. 	<ul style="list-style-type: none"> • Service contract (technical assistance) for the preparation of documents needed for launching calls for proposals and tenders (PPF) – 7.50 Meuro 		
			Preconditions Infrastructure projects to be financed within 2007 – 2009 period are selected

Priority B: HUMAN RESOURCE DEVELOPMENT – 2006 Measure D: Improving access to education and region specific technical and vocational education and training system		Programme name and number	Economic and social cohesion 2006
Sub-Project 1: Improving region specific technical and vocational education and training system		Contracting period expires: 30.11.2008	Disbursement period expires: 30.11.2009
		Total budget: 33.017 Meuro	Phare budget: 25.25 Meuro
Overall objective of Phare ESC 2004 - 2006	Relates to Copenhagen criterion and Acquis chapter	List of other projects with the same objective	
<ul style="list-style-type: none"> To develop and implement multi-annual ESC policies and programmes, through investment projects in priority sectors, to support the overall national and regional economic growth, in order to increase the overall potential of the country and of each of the eight development regions, as well as to diminish the economic and social disparities between them; To strengthen the institutional capacity of central ministries, the 8 Regional Development Agencies and relevant local authorities to prepare for the implementation of investment support to be provided, in line with provisions regarding the Extended Decentralised Implementation Systems (EDIS) in candidate countries. 	<p><i>Chapter 21 - Regional policy and co-ordination of structural instruments:</i></p> <ul style="list-style-type: none"> - Improve the administrative capacity of the institutions involved and the management of the funds (MoLSSF and Intermediary bodies for management of ESF) - Strategic approach to employment and strategy for human resource development, combining education policy and lifelong learning, in order to align with European Employment Strategy <p><i>Chapter 18: Education and training</i></p> <ul style="list-style-type: none"> - Need for new legislative and institutional measures to be taken, in order to put up a structured system. - Support for the reform measures with adequate funds. 	<ul style="list-style-type: none"> Phare TVET 2003 (continuation of the multiannual programme 2001-2003) and Phare TVET 2004 ESC-TVET Twinning component of the Phare 2002ESC programming Fiche dedicated to CVT development strategy for 2005-2008 Phare project 2004 for National Authority for Qualifications establishment to create a national transparent qualifications system in VET 	
Project purpose	Objectively verifiable indicators	Sources of verification	Assumptions
<p>Sub-Project 1: To further assist the Romanian TVET in increasing the participation, assuring equal chances for young people to obtain a good professional qualification</p> <p>Technical assistance for TVET system will have the following tasks:</p> <ol style="list-style-type: none"> To develop and consolidate mechanisms in order to increase the access and participation to initial vocational training developed according to region specific, as mentioned in the Regional Education 	<ul style="list-style-type: none"> 150 schools and their social partners assisted to plan the training offer for new and revised qualifications 16 support centres will act as providers in distance learning and facilitate school networking for courseware development Social partners at national, regional, county and local level participate actively to proposing new qualifications or 	<ul style="list-style-type: none"> Recommendations of the studies developed under Phare 2001-2003 in place, and transferred into regulations and methodologies at the level of MoER, regarding the TVET rationalisation, the social partnership for TVET at national, regional and local level, and adoption of NAQ 	<ul style="list-style-type: none"> Romanian Government is committed to support regional development policy in order to implement human resources policies Institutional framework is stable to sustain the

<p>Action Plans, mainly organized in disadvantaged areas as rural areas and small and medium localities not yet assisted through support development programmes, offering similar quality learning conditions of the education process as those in the schools already assisted.</p> <p>2. To consolidate reviewed responsibilities, governance and accountability mechanisms in the provision of initial TVET in line with the social and economic development as stated in the National Development Plan and based on further recommendations acquired through IB activities of the Phare 2001 -2003 and Phare 2004 TVET projects. Coordination with the NAQ project will be carefully considered. Role of agencies related to Higher education would be part of the overall design as far as certification and quality assurance is concerned.</p> <p>3. To further assist in the provision of equal chances for young people to obtain a good professional qualification corresponding to European standards, by providing TVET which responds flexibly to the needs of each individual, taking into account the institutional achievements of 2001 -2003 projects. Special attention will be given to young people in disadvantaged areas and people with special needs.</p>	<p>reviewing the existing ones according to the NAQ methodologies in view of updating the Professional National Qualification Framework, and training needs identification and TVET provision planning in order to develop alternative learning mechanisms by distance education</p> <ul style="list-style-type: none"> • The Regional Education Action Plan for Vocational Education and Training Development revisited from a system based perspective (ensuring coherency in HRD planning). The Annual Local Action Plan for Vocational Education and Training Development revised. • Number of staff from institutions responsible for quality assurance in education and training, as well as number of personnel from the education institutions involved in the quality assurance piloting schemes within the formal education and training system • About 25 % of the school staff not trained in previous Phare TVET projects participating in training activities in the 150 TVET selected schools. 	<ul style="list-style-type: none"> • Regulations and methodologies of MoER to assure the quality of the national TVET, while investment is decentralized at regional/local level • Methodologies adopted by Universities Senates to implement developed qualifications • Policy of MoER regarding the gradual integration of young people with special needs in the normal school system • National statistics • VET statistical publications, reports of pilot surveys • Support centers bulletins and publications and websites • Publications produced 	<p>planning and implementation mechanisms of the human resources policies</p> <ul style="list-style-type: none"> • National structures /institutions in quality assurance in VET in place • Local public administration is committed to the success of the projects' implementation
Results	Objectively verifiable indicators	Sources of verification	Assumptions
<p>Sub-Project 1</p> <p><i>A. IB</i></p> <p>1.1. 16 support centres which have been selected until October 2005 will receive assistance to organize teams of authors for distance education courses</p> <p>1.2. 16 support centres will receive assistance for training needs assessment and identification of available corresponding training offers</p> <p>1.3. 16 support centers assisted to organize school networking in view of extending the group of</p>	<ul style="list-style-type: none"> ○ 16 teams of authors for distance education courses set up and trained ○ training needs assessment carry out and qualifications and/or competences units identified based on which distance learning packages will be developed ○ at least 16 courseware developed for the identified qualifications and/or competences units ○ additional 16 teams of courseware developers set up and trained ○ at least additional 16 courseware developed 	<ul style="list-style-type: none"> • Quarterly reports from TA teams • Monthly reports from Implementing Authority • Minutes of Steering Committee and materials prepared 	<ul style="list-style-type: none"> • Regional development authorities and regional partnership support the project; • Implementing institutions have adequate institutional capacity • Qualified managers and

<p>courseware developers</p> <p>1.4. 150 schools will receive assistance for developing school networks with the previous Phare TVET assisted schools as well as with schools in their neighborhood</p> <p>2.1. REAPS revision in a HRD coherent perspective, competences based qualifications development and quality assurance, control and management in a system perspective</p> <p>2.2 schools and universities- companies networking</p> <p>3.1. schools and universities implementing quality assurance mechanisms</p> <p>3.2. revision and development for qualifications</p> <p>3.3. learning packages development including for students with special education needs</p> <p>B. Investment The Investment component will assist the 150 schools to be equipped with specialized equipment and to equip the center unit and the 16 support centers as distance learning providers. The investment component will assist NCDVET and its regional offices to be equipped for facilitating meetings and training events for ESF project promoters.</p>	<p>for the identified qualifications and/or competences units</p> <ul style="list-style-type: none"> ○ 150 staff in the 150 TVET schools trained in view of creating a number of school networks which includes both Phare assisted schools and other school and good practices transferred ○ 8 regional surveys to update the regional planning mechanisms; ○ 8 revised REAPs, 42 LEAPs and 272 SAPs ○ aprox. 100 staff of the regional Consortia trained ○ About 166 training standards and curriculum developed for all levels ○ Quality Assurance Manual for TVET adopted by the 150 schools and implemented for annual planning and improvement. ○ At least 150+42+10 staff involved in training of Quality Assurance Committees's Coordinators in TVET, school inspectorates and quality management national structures ○ 150 learning packages for students to assist in the transition from school to work ○ Aprox. 75 staff trained to develop the 150 learning packages for qualifications for which there are no such packages developed in previous Phare programmes or MoER actions. ○ Adapted modules for students with learning disabilities, for new / revised qualifications. <p>Investment</p> <ul style="list-style-type: none"> • 150 selected schools equipped with specialized equipment • the central unit and 16 support centers equipped with distance learning specific equipment • NCDVET and its 8 regional offices equipped. 	<ul style="list-style-type: none"> • List and documentation of projects • interim reports of the Implementing Authority, based on achievements of PIU and Technical Assistance • qualifications included in the National Qualifications Register 	<p>consultants associated with project</p> <ul style="list-style-type: none"> • Effective implementation of ongoing measures related to HRD and National Qualification Framework • Sustained information campaign during the program • MEI, social partners, RDAs, MoLSSF support the restructuring • National Qualification Framework agreed for both TVET and CVT
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Procurement of specialised equipment for 150 new selected schools Procurement of IT specialised equipment for the central unit and 16 support centers Procurement of the equipment for NCDVET and its 8 regional offices.	Supply contract for the central unit and the 16 support centres (distance learning equipment) Budget: 2.40 Meuro Supply contract for NCDVET Budget: 0.05 Meuro		<ul style="list-style-type: none"> Full implication of local authorities
			Preconditions

Sub-Project 2: Developing continuing training for pre-university education staff			
Project purpose	Objectively verifiable indicators	Sources of verification	Assumptions
To increase the quality of the education process and institutional management, improve the teaching – learning process, matching the educational offer with the specificity of the community needs; improve teaching and material equipment; diminish school-drop out, stability of the labour force, decrease social mobility.	<ul style="list-style-type: none"> Methodologies for training are applied and the accreditation procedures exist Grant scheme is successfully implemented and funds are committed Training supply offer exist and quality of the continuous teacher training process. 	<ul style="list-style-type: none"> Publications produced 	<ul style="list-style-type: none"> Institutional framework is stable Sustained decentralising policy in educational system
Results	Objectively verifiable indicators	Sources of verification	Assumptions
<ul style="list-style-type: none"> Enhanced capacity in promoting the continuous staff training priorities in order to improve the continuous training supply Selected programme providers accredited and trained Improved training capacity for supplying teachers training Improved skills of teachers and managers from lyceums in rural areas A clear programme revised monitoring system of the grant scheme developed An impact study to become the base for development of future programs. Conference at the end of the project, presenting the results of the final evaluation: main achievements, conclusions and recommendations. 	<ul style="list-style-type: none"> NCTPE and 16 Resource centers staff trained 20 training application selected 20 accredited programs resulting at the end of the process An approximate number of 1600 – 2200 teachers from lyceums in rural areas will be trained. (20 grants) Monitoring system exist Impact study exist and conference for dissemination of the results of the grant scheme held 	<ul style="list-style-type: none"> Quarterly reports from TA teams Monthly reports from Implementing Authority Minutes of Steering Committee and materials prepared List and documentation of projects Interim reports of the Implementing Authority, based on achievements of PIU and Technical Assistance 	<ul style="list-style-type: none"> Implementing institutions have adequate institutional capacity Qualified managers and consultants associated with project Sustained information campaign during the program
Activities	Means		Assumptions
Tendering and contracting technical assistance; Launching and implementing the grant scheme:	1 service contract (2006) – 0.50 Meuro		<ul style="list-style-type: none"> The MoER makes available office accommodation and

<ul style="list-style-type: none"> - Assist the training providers in delivering the programme - revising the monitoring system - continuous counseling of training programme providers - training the NCTPE and 16 RCs staff to monitor and evaluate the training programme - TA will identify the benefits of the training activities and their impact over the school. 	<p>Grant scheme for training provision 0.60 Meuro Phare contribution (+0.20 co-financing)</p>		<p>provides adequate inputs to the key and local experts</p> <ul style="list-style-type: none"> • The MoER will make staff available to participate in training • Effective cooperation between the beneficiaries and the technical assistance • The Contractor will send highly qualified experts.
			Preconditions

LOGFRAME PLANNING MATRIX FOR 2006		Programme name and number	Phare Economic and Social Cohesion Programme 2006
Priority C: Support to SMEs, business start-ups, micro-enterprises and business support services providers		Contracting period expires 30.11.2008	Disbursement period expires 30.11.2009
		Total budget : €84.75 million	Phare budget : 19.00 €million
Overall objective of Phare ESC 2004 - 2006	Relates to Copenhagen criterion and Acquis chapter	List of other projects with the same objective	
<ul style="list-style-type: none"> To develop and implement multi-annual ESC policies and programmes, to support the overall national and regional economic growth, in order to increase the overall potential of the country and of each of the eight development regions, as well as to diminish the economic and social disparities between them; To build the institutional, administrative, programming and implementation structures necessary to effectively manage EU Structural Funds after accession, in order to make significant progress in the negotiations on Chapter 21 of the Acquis communautaire "Regional Policy and the Coordination of Structural Instruments". 	<ul style="list-style-type: none"> Industrial acquis Chapter 21-Regional policy and Coordination of Structural Instruments 	<ul style="list-style-type: none"> Phare 2003 ESC-Business Development Support Services Phare 2004 and 2005 ESC projects that are continued in 2006 Phare 2005 ESC projects that are continued in 2006 	
Project purpose	Objectively Verifiable Indicators	Sources of Verification	Assumptions
Sub-Project 1 To familiarise SMEs with modern e-governance practice improving the communication and sharing of information between public administration authorities and SMEs. Tasks: <ul style="list-style-type: none"> To improve SMEs access to ICT applications, creating an environment that enables SMEs to join and better compete on the global e-marketplace; To manage post-delivery evolution of SME e-governance portal; To train a critical mass of ICT operators within SMEs capable of spreading e-business culture and practical solutions 	<ul style="list-style-type: none"> %increase in No. of SMEs using the portal Institutional and human resource capacity of NASMEC and its territorial offices enhanced 	<ul style="list-style-type: none"> NASMEC Annual Reports MoF Annual Reports; RDA Annual Reports Chambers of Commerce Annual Reports 	<ul style="list-style-type: none"> Co-financing provided as planned from the State Budget; Government of Romania remains committed to accession and implements Internal Market acquis; Enough SMEs willing to participate in project activities

<p>among Romanian enterprises.</p> <p>Sub-Project 2 To increase the capability of Romanian companies to face competition on the EU market through strengthening the implementation of the horizontal industrial acquis at SME level in the field of workers' safety, environment protection and product safety.</p> <p>Sub-Project 3 To improve access to finance for SME start-ups and micro-enterprises</p>	<ul style="list-style-type: none"> • % increase in No. of SMEs introducing horizontal industrial acquis at SMEs level in the field of worker's safety, environmental protection and product safety ▪ Number of micro and small enterprises receiving loan finance increases 	<ul style="list-style-type: none"> ▪ Monitoring and evaluation reports 	
Results	Objectively Verifiable Indicators	Sources of Verification	Assumptions
<p>Sub-Project 1 1.1 Maintenance releases (corrections, minor changes, bug reports); 1.2 Development of the SMEs portal; 1.3 Software updates; 1.4 Request for changes by the beneficiary delivered; 1.5 NASMEC staff training to be delivered; 1.6 Updated of user and system documents delivered</p> <p>Sub-Project 2 2.1 The Grant&credit Scheme is in place; 2.2 Improved application of industrial acquis; 2.3 At least 100+ SMEs will have benefited from the scheme. 2.4 Improved SMEs skills to propose projects under future Structural Funds.</p> <p>Sub-Project 3 3.1 The Credit Scheme is in place; 3.2 A minimum of 550 loans are approved.</p>	<ul style="list-style-type: none"> ▪ Portal is operational and accessed by SMEs ▪ Software and user manuals developed ▪ No. of people trained • A minimum of 100 grants/credits are approved; • 100+ SMEs will have benefited from the scheme • The Credit Scheme designed and operational; • 550 loans granted to SMEs, start-up and micro-enterprises. 	<ul style="list-style-type: none"> ▪ Existence of the portal ▪ NASMEC Annual Reports ▪ NASMEC Annual Reports ▪ Monitoring records of Implementing Agency ▪ Monitoring and evaluation reports drafted by NASMEC ▪ IAg records ▪ Periodic monitoring and mid-term assessment reports; ▪ Annual Reports from participant banks/financial institutions 	<ul style="list-style-type: none"> ○ NASMEC already successfully implemented Phare 2004 TA • Enough SMEs organizations willing to participate in the scheme • SMEs recognise the value of horizontal industrial acquis and participate in scheme • Enough eligible Financial intermediaries are willing to participate in the project • Sufficient credit demand from eligible SMEs

Activities	Means		Assumptions
Sub-Project 1 <ul style="list-style-type: none"> • Prepare ToRS • Evaluation of offers and signature of contract • Implementation of activities <ul style="list-style-type: none"> - assistance to Maintenance releases (corrections, minor changes, bug reports) and to development of the SMEs portal 	<ul style="list-style-type: none"> ▪ Technical assistance contract : 1.75 Meuro Phare 		
Sub-Project 2 <ul style="list-style-type: none"> ▪ Preparation of agreement with BERD ▪ Launching the grant&credit scheme for industrial acquis; ▪ Appraisal of applications; ▪ Conclusion of the loan agreements, levels 1 and 2. ▪ Monitoring of implementation. 	<ul style="list-style-type: none"> ▪ Grant&credit Scheme (depending on EC approval): 7.65 Meuro Phare plus 2.55 Meuro national co-financing 		<ul style="list-style-type: none"> ▪ SMEs value the benefits of the horizontal industrial acquis and to participate in the scheme; ▪ SMEs and financial intermediaries take active role in technical assistance scheme in order to be trained;
Sub-Project 3 <ul style="list-style-type: none"> ▪ Conclude FA with EBRD ▪ Launching the credit scheme for start-ups and micro-enterprises: <ul style="list-style-type: none"> o transfer of funds into the Scheme; ▪ Conclusion of the loan agreements. ▪ Monitoring of implementation. 	<ul style="list-style-type: none"> ▪ Micro-Credit Scheme (according to the recommendations of the feasibility study undertaken under Phare 2004 and depending on EC approval): 9.6 Meuro Phare plus 3.2 Meuro co-financing 		<ul style="list-style-type: none"> ▪ Financial intermediaries agree to the credit scheme ▪ SMEs willing to seek loan finance
			Preconditions European Commission approves the design of the grant (sub-project 2) and micro-credit scheme (sub-project 3)

LOGFRAME PLANNING MATRIX FOR PROJECT 2006		Programme name and number	Phare ESC 2006
Priority D: Environmental protection at regional level Measure A: Improving environmental protection at local and regional level		Contracting period expires 30.11.2008	Disbursement period expires 30.11.2009
		Total budget: 37.15 MEuro	Phare budget : 28.00 MEuro
Overall objective	Relates to Copenhagen criterion and Acquis chapter	List of other projects with the same objective	
<ul style="list-style-type: none"> - To develop and implement multi-annual policies and programmes for economic and social cohesion, to support the overall national and regional economic growth, in order to increase the overall potential of the country and of each of the eight development regions, as well as to diminish the economic and social disparities between them; - To strengthen the institutional capacity of central ministries, the 8 Regional Development Agencies and relevant local authorities to prepare for the implementation of investment support to be provided, in line with provisions regarding the Extended Decentralised Implementation Systems (EDIS) in candidate countries. - To build the institutional, administrative, programming and implementation structures necessary to effectively manage EU Structural Funds after accession, in order to make significant progress in the negotiations on Chapter 21 of the General Acquis communautaire "Regional Policy and the Coordination of Structural Instruments". 	<p><i>Chapter 21 - Regional policy and co-ordination of Structural Instruments</i></p> <p><i>Chapter 22 - Environment</i></p>	<p>PHARE ESC 2003</p> <ul style="list-style-type: none"> - Small-scale waste management investment scheme, for Centre Region - TA to support the implementation of the waste management infrastructure projects under ESC - Twinning to support the MEWM in preparation of the multi-annual programming scheme under the ESC; <p>PHARE 2004 PHARE/2004/016-772.03.03 WASTE</p> <ul style="list-style-type: none"> - Implementation and Enforcement of the Environmental Acquis Focussed on Domestic Waste Management -REPA Bacau - twinning - Implementation and Enforcement of the Environmental Acquis Focussed on Industrial Waste Management - REPA Galati - twinning - TA for elaborating the Regional Waste Management Plans; - Education and Information Campaigns on the Waste Management Issues –TA - Waste from Electrical and Electronic Equipment (WEEE) Directive Implementation - TA <p>WATER</p> <ul style="list-style-type: none"> -Support for Implementing the Requirements of the WFD –Establishing Water Management Plans for the Ialomita-Buzau River Basin.- TA <p>NATURE PROTECTION -RO 04/IB/EN/02</p> <p><i>Implementation and enforcement of the environmental Acquis focussed on nature protection - REPA Sibiu</i></p>	

		<p>-RO 04/IB/EN/03 <i>Implementation and enforcement of the environmental Acquis focussed on nature protection - REPA Timisoara</i> <i>-Implementation of NATURA 2000 Network in Romania - TA</i> -Investment on ortho-rectified high-resolution images at national level for developing GIS maps PHARE ESC 2004 <i>- Investment grant scheme for local public authorities (waste) in 7 regions</i> <i>- Project Preparation grant scheme</i> <i>- TA for the implementation of the grant schemes</i> <i>- TA to support the implementing authorities in the selection of the projects</i> PHARE 2005 WASTE <i>- Assistance in the preparation for compliance with provisions regarding temporary storage of waste</i> <i>- Assistance in promoting solutions regarding recycling and use of recycled materials from End-of-Life Vehicles</i> WATER <i>- Investment supporting the information system and database for water management</i> <i>- Water resources quality monitoring equipment acquisition</i> NATURE PROTECTION <i>- TA to develop the environmental related GIS maps</i> <i>- Investment support to assist the environmental protection decisions through GIS system</i> PHARE ESC 2005 <i>- Investment Grant Scheme to support the public sector's initiatives in priority environmental sectors</i> <i>- Investment Grant Scheme to support the private sector's initiatives in waste management sector</i> <i>- Project preparation grant scheme</i> <i>- TA for the implementation of the grant schemes 2005-2006 in waste, water, biodiversity sectors for local/regional public authorities and in waste management field for private sector</i> <i>- TA to support the implementing authorities in the</i></p>	
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		<i>appraisal & selection of the projects</i>	
Project purpose	Objectively Verifiable Indicators	Sources of Verification	Assumptions
Measure A: To improve environmental protection at local and regional level through supporting relevant investments and strengthening the institutional capacity to manage grants and cope with the future structural funds	<ul style="list-style-type: none"> Improved capabilities of the local public authorities in managing funds and developing environmental investments All investment funds committed No. of rehabilitated areas % reduction of waste Reduction of pollution Monitoring and control procedures developed and site-visits undertaken All project budgets properly spent 	<ul style="list-style-type: none"> Projects assessment reports Progress reports to Steering Committee Programme evaluation by the European Commission 	<ul style="list-style-type: none"> Continuing fulfillment of the conditions for receiving EU grant assistance Continuing commitment of national and local authorities to support the environmental protection policy Commitment of the beneficiaries to the project; Regional and local authorities support the projects; Effective implementation of the environmental projects Co-financing available
Results	Objectively Verifiable Indicators	Sources of Verification	Assumptions
Sub-Project 1 : Investment Grant Scheme to support the public sector's initiatives in priority environmental sectors 1.1. Investment projects developed, selected and contracted through the Phare ESC 2006 Investment Grant Scheme for public authorities in waste, water and biodiversity sectors 1.2. Local and regional public authorities able to independently manage environmental protection activities at a high professional level; 1.3. Accurate, well-prepared evaluation reports for the selection process.	<ul style="list-style-type: none"> All grants signed No. of projects selected for each eligible activity and for each region of the country No. of communities which benefit from the projects implementation No. of household served 	<ul style="list-style-type: none"> Monitoring data supplied by county PIUs to MoEWM and MIE Site supervision reports Progress reports to Steering Committee Programme evaluation by the European Commission Reporting to JMC, SMSC and Steering Committee Evaluation reports for selecting projects Report on the results of the needs assessment 	<ul style="list-style-type: none"> Good capacity of potential beneficiaries to implement the projects Procedures for financial and technical monitoring are designed and applied Qualified managers and consultants associated with the project Existence of appropriate evaluation methodologies for grant scheme financing which will allow adequate commitment of funds A good coordination and involvement from the both parts: beneficiary and Consultants
Sub-Project 2: Technical Assistance to support the implementing authorities in project appraisal & selection and strengthen the project implementation capacity of REPAs 2.1. Increased capacity of REPAs to fulfill their implementation responsibilities related to EU funded projects in the field of environment; 2.2. Accurate, well-prepared evaluation reports for the selection process.	<ul style="list-style-type: none"> Established system of implementing the grant schemes (guidelines for the grant schemes implementation) Number of workshops/ working-group meetings involving the participation of relevant actors; Number of training courses, brochures, public & private consultations delivered/ number staff trained; Procedures, techniques and manuals/ 		

	documentation delivered.		
Activities	Means		Assumptions
<p>Sub-Project 1 : Investment Grant Scheme to support the public sector's initiatives in priority environmental sectors</p> <p>1.1. Preparing and concluding framework agreements between REPAs and RDAs for monitoring of projects</p> <p>1.2. Preparing of guidelines and application form package for public applicants</p> <p>1.3. Launching calls for proposals</p> <p>1.4. Organizing seminars and providing information and advice to applicants</p> <p>1.5. Appraisal, evaluation and selection of projects</p> <p>1.6. Observation and checking of the selection process</p> <p>1.7. Concluding contracts with approved projects for financing</p> <p>1.8. Ex-ante evaluation, monitoring and control of projects</p> <p>1.9. Reporting at different levels</p> <p>1.10. Payments to projects by MEI</p> <p>Sub-Project 2 – TA to support the implementing authorities in projects appraisal & selection</p> <p>2.1. preparing ToRs and contracting</p> <p>2.2. training the evaluators</p> <p>2.3. participating in the appraisal process as independent evaluators</p> <p>2.4. assessing the REPAs' current needs for training and support</p> <p>2.5. providing training to REPAs in all fields relevant to fulfillment of their responsibilities with regard to implementation of the EU funded environmental projects</p> <p>2.6. support and guidance to REPAs, in accordance to the needs identified under the Activity 2.4.</p>	<ul style="list-style-type: none"> 1 grant scheme for environmental projects: 27.45 Meuro TA contracted through sub-Project 2 will support the implementing authorities in appraisal and selection of projects and REPAs in strengthening their project implementation capacities: 0.55 Meuro 		<ul style="list-style-type: none"> Romanian co-financing (25%) is ensured TA is contracted in due time The identification of projects is proper Local contribution is available Effective cooperation among the local public institutions Other priorities involved that could delay the activities foreseen The Contractor will send highly qualified experts The MoEWM, REPAs and RDAs will make staff available to participate in training and will place all information relating to project proposals at the disposal of the experts Full implication of local authorities Appropriate communication and collaboration between project stakeholders; Staff turnover is limited; Trainings are carried out successfully; Qualified experts identified and mobilized rapidly. Intermediary results of Twinning 2003 to MoEWM
			<u>Pre-conditions:</u>

LOGFRAME PLANNING MATRIX FOR PRIORITY E - 2006	Programme name and number	Economic and Social Cohesion 2006
Measure A: Development of administrative capacities for Structural Funds management	Contracting period expires: 30.11.2008	Disbursement period expires: 30.11.2009
Sub-Project 4: Co-ordination, management and implementation of regional, cross-border and trans-national programmes	Total budget : 1.00 Meuro	Phare budget: 1.00 Meuro

Overall objective 2004 - 2006	Related Copenhagen criteria and acquis chapter	List of other projects with the same objective	
To build the institutional, administrative, programming and implementation structures necessary to effectively manage EU Structural instruments after accession, in order to make significant progress in the negotiations on Chapter 21 of the Acquis communautaire "Regional Policy and the Coordination of Structural Instruments".	<p>Chapter 21 "Regional policy and coordination of structural instruments"</p> <ul style="list-style-type: none"> To strengthen the institutional capacity of the managing authorities and of the intermediate bodies. To set up a partnership at the regional and national level, which has to include the local authorities, economic and social partners and relevant agencies. To design an evaluation and monitoring system of the structural funds. <p>2005 Romania - Comprehensive Monitoring Report</p> <ul style="list-style-type: none"> Increased efforts are required (...) to prepare for the implementation of the <i>Structural and Cohesion Funds</i>, including timely accreditation for EDIS in the context of the pre-accession funds. Work on preparing the pipeline, with adequate co-financing mechanisms. 	<p>RO02/IB/FI-12 – "Set up of the Paying Agency within the MoPF to ensure efficient management and control of EU and public funds in compliance with EU Acquis"</p> <p>RO02/IB/SPP/01 – "Institution building to support regional development policy implementation"</p> <p>PHARE ESC 2005 –TA for project pipeline preparation</p> <p>PHARE ESC 2005 – Investment for SMIS</p> <p>- Phare 2003, 2004, 2005 Institution building for ESC and Structural funds</p>	
Project purpose	Objectively verifiable indicators	Sources of Verification	Assumptions
<ul style="list-style-type: none"> To strengthen MEI's role in the overall co-ordination of regional policy formulation and implementation. To support the general Directorate for Regional Development to prepare to take over its role as ROP Managing Authority under Structural Funds and of the CBC Directorate within MEI to take over the role of National Supporting 	All necessary structures in place, with staff trained and retained, and able to properly manage the programmes under the Funds	<p>Project reports</p> <p>ROP approved</p> <p>Management and Control Procedures notified</p> <p>Annual implementation reports of the Funds</p>	<p>Good co-operation between MACSF, future MAs and other institutions involved in implementation</p> <p>Good programming documents</p>

Unit for INTERREG programmes and Managing Authority for NEIGHBOURHOOD programmes, as well as of the IBs for these programmes			
Results	Objectively verifiable indicators	Sources of Verification	Assumptions
<p>Component B -Training project</p> <ul style="list-style-type: none"> • Training Needs assessed • Core group of Romanian Trainers proficient in training provision • Staff from MA and IBs trained • Potential beneficiaries from each region trained / guided <p>Component C</p> <ul style="list-style-type: none"> • Improved working environment for RDAs • Improved premises 	<p>Component B</p> <p>Training needs assessment</p> <p>A minimum of 100 key people trained/guided from each NUTS II region and 25 key people trained from each of 5 cross-border cooperation eligible areas.</p> <p>Training participants submit 50 projects per region, of which 30 are successful</p> <p>Training participants submit 30 projects per cross-border area, of which 20 are successful.</p> <p>Component C</p> <p>Existing improved premises for RDAs</p>	<p>Training evaluation reports</p> <p>Project reports / evaluation</p> <p>Annual Programme Implementation reports</p> <p>Programme Management / Monitoring Committee minutes</p> <p>Project reports / evaluation</p> <p>SMSC and annual implementation reports</p>	<p>Staff turnover rates not more than 20% per year</p>
Activities	Means		Assumptions
<ul style="list-style-type: none"> - Prepare ToRs for tTA, launching the tender for service contract, evaluation and Contract's signature - Prepare grant contract for RDAs support, Contract's signature - Implementation and monitoring activities under service/grant contracts: <p>Component B</p> <p>Training aimed at enabling the MEI, RDAs and other actors to manage effectively Structural Funds, especially ROP and cross-border cooperation programmes.</p> <p>Component C</p> <p>Rehabilitation, construction of RDA premises</p>	<p>TA for training of 1 Meuro</p> <p>Grant contract from national co-financing – 3 Meuro</p>		
			Preconditions